

December 7, 2012

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Joseph C. Mancini, M.D.
REDACTED

Re: License No. 114313

Dear Dr. Mancini:

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Order No. 12-268. This order and any penalty provided therein goes into effect December 14, 2012.

Please direct any questions to: Board for Professional Medical Conduct, 90 Church Street, 4th Floor, New York, NY 10007-2919, telephone # 212-417-4445.

Sincerely,

REDACTED
Katherine A. Hawkins, M.D., J.D.
Executive Secretary
Board for Professional Medical Conduct

Enclosure

cc: Thomas M. Prato, Esq.
Brown & Taratino, LLC
19 Prince Street
Rochester, NY 14607

**IN THE MATTER
OF
JOSEPH MANCINI, M.D.**

CONSENT
ORDER

Upon the application of (Respondent) JOSEPH MANCINI, M.D. in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is

ORDERED, that the Consent Agreement, and its terms, are adopted and

it is further

ORDERED, that this Consent Order shall be effective upon issuance by the Board,

either

by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR

upon facsimile transmission to Respondent or Respondent's attorney,

whichever is first.

SO ORDERED.

DATE: 12/6/2012

REDACTED

ARTHUR S. HENGERER, M.D.

Chair

State Board for Professional Medical Conduct

**IN THE MATTER
OF
JOSEPH MANCINI, M.D.**

**CONSENT
AGREEMENT
AND
ORDER**

JOSEPH MANCINI, M.D., represents that all of the following statements are true:

That on or about October 20, 1972, I was licensed to practice as a physician in the State of New York, and issued License No. 114313 by the New York State Education Department.

My current address is REDACTED

and I will advise the Director of the Office of Professional Medical Conduct of any change of address.

I understand that the New York State Board for Professional Medical Conduct (Board) has charged me with one or more specifications of professional misconduct, as set forth in a Statement of Charges, marked as Exhibit "A", attached to and part of this Consent Agreement.

I do not contest the First Specification (negligence on more than one occasion), and I admit the Second Specification (Failing to Maintain an Adequate Record) in full satisfaction of the charges against me, and agree to the following penalty:

Pursuant to N.Y. Pub. Health Law § 230-a(3), my license to practice medicine in New York State shall be limited to allow only the family practice of medicine, including urgent care settings.

Pursuant to Public Health Law § 230-a (3), my medical license shall be limited to prohibit the prescribing of Controlled Substances, as listed in current Section 3306 of the New York State Public Health Law, or in future amendments of such law.

Pursuant to New York Pub. Health Law § 230-a(2), my license to practice medicine in New York State shall be suspended for twenty four [24] months, with such suspension stayed pending my compliance with the terms of probation attached as Exhibit "B".

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall remain in continuous compliance with all requirements of N.Y. Educ Law § 6502 including but not limited to the requirements that a licensee shall register and continue to be registered with the New York State Education Department (except during periods of actual suspension) and that a licensee shall pay all registration fees. Respondent shall not exercise the option provided in N.Y. Educ. Law § 6502(4) to avoid

registration and payment of fees. This condition shall take effect 120 days after the Consent Order's effective date and will continue so long as Respondent remains a licensee in New York State; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Consent Order and in its investigations of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Consent Order.

Respondent shall meet with a person designated by the Director of OPMC, as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Consent Order shall constitute misconduct as defined by N.Y. Educ. Law § 6530(29).

I agree that, if I am charged with professional misconduct in future, this Consent Agreement and Order **shall** be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to N.Y. Pub. Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Consent Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, OR upon facsimile transmission to me or my attorney, whichever is first. The Consent Order, this agreement, and all attached Exhibits shall be public documents, with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website. OPMC shall report this action to the National Practitioner Data Bank and the Federation of State Medical Boards, and any other entities that the Director of OPMC shall deem appropriate.

I stipulate that the proposed sanction and Consent Order are authorized by N.Y. Pub. Health Law §§ 230 and 230-a, and that the Board and OPMC have the requisite powers to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the Consent Order for which I apply, whether administratively or judicially, I agree to be bound by the Consent Order, and I ask that the Board adopt this Consent Agreement.

I understand and agree that the attorney for the Department, the Director of OPMC and the Chair of the Board each retain complete discretion either to enter into the proposed agreement and Consent Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

DATE 11/19/12

REDACTED

JOSEPH MANCINI, M.D.
RESPONDENT

The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms and conditions.

DATE: 11/26/12

REDACTED

THOMAS M. PRATO, ESQ.
Attorney for Respondent

DATE: 11/30/12

REDACTED

MICHAEL A. HISER, ESQ.
Associate Counsel
Bureau of Professional Medical Conduct

DATE: 12/6/12

REDACTED

KEITH W. SERVIS
Director
Office of Professional Medical Conduct

IN THE MATTER

STATEMENT

OF

OF

JOSEPH MANCINI, M.D.

CHARGES

JOSEPH MANCINI, M.D., the Respondent, was authorized to practice medicine in New York State on or about October 20, 1972, by the issuance of license number 114313 by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. Respondent provided medical care to Patient A, a female approximately 34 years old when treatment began, at various times between 2006 and 2010 at Respondent's office at 1770 Long Pond Road, Suite 201, Rochester, New York ["Respondent's Long Pond office"], and at the Anthony Jordan Health Center, 82 Holland Avenue, Rochester, New York 14605 (Anthony Jordan Center). Respondent provided medical care for Patient A primarily related to opioid dependency, including treatment with Suboxone. Respondent's care of Patient A failed to meet minimum standards of care, in that:
1. Respondent, beginning in or about May 2006, failed to properly administer Buprenorphine therapy to Patient A, and/or failed to document that he had adequately administered Buprenorphine therapy to Patient A.
 2. Respondent, beginning in or about March 2008, prescribed controlled substances to Patient A on an ongoing basis during Buprenorphine therapy.
 3. Respondent, after receiving information on or about April 5, 2010 that the patient was filling and picking up her prescriptions for Oxycodone, but not picking up her prescriptions for Suboxone and Seroquel, failed to adequately respond to Patient A's conduct, and/or failed to document that he had adequately responded to Patient A's conduct.

4. Respondent, between August of 2006 and May 2010, failed to order or obtain adequate laboratory evaluations of Patient A, and/or failed to document that he had adequately ordered or obtained adequate laboratory evaluations of Patient A.
- B. Respondent provided medical care to Patient B, a male approximately 47 years old when treatment began, at various time between 2007 and 2010 at 1415 Portland Avenue, Suite 200, Rochester, New York 14621 [Respondent's "Portland Avenue Office"], at Loyola Medical Associates, 1160-G Pittsford-Victor Road, Pittsford, New York ["Respondent's Loyola Medical Office"], at Brown Square Health Center, 322 Lake Avenue, Rochester, New York, at DePaul Addiction Services, 774 West Main Street, Rochester, New York, and at the Anthony Jordan Center. Respondent provided medical care for Patient B primarily for suboxone treatment. Respondent's care of Patient B failed to meet minimum standards of care, in that:
1. Respondent, failed to properly administer Buprenorphine therapy to Patient B, and/or failed to document that he had adequately administered Buprenorphine therapy to Patient B.
 2. Respondent, beginning in or about September 2009, prescribed controlled substances to Patient B on an ongoing basis during Buprenorphine therapy.
 3. Respondent, from on or about September 2009 through April 2010, failed to properly prescribe controlled substances to Patient B, and/or failed to document that he had properly prescribed controlled substances to Patient B.
 4. Respondent, despite evidence from urine toxicology screens between on or about May 2009 through April 2010 that the patient was either using drugs such as cocaine, or failing to use prescribed narcotics such as Hydrocodone, failed to adequately respond to Patient A's conduct, and/or failed to document that he had adequately responded to the conduct.
 5. Respondent failed to adequately respond to the patient's admitted use of cocaine on repeated occasions, and/or failed to document that he had adequately responded to Patient B's conduct.
- C. Respondent provided medical care to Patient C, a female approximately 31 years old when treatment began, at various times between 2005 and 2009 at Respondent's Portland Avenue office, at Westside Health Services, 322 Lake Avenue, Rochester, New York 14608 ["Westside Health Services"], and at

the Anthony Jordan Center. Respondent provided medical care for Patient C for chronic pain, and also for Suboxone treatment. Respondent's care of Patient C failed to meet minimum standards of care, in that:

1. Respondent failed to properly administer Buprenorphine therapy to Patient C, and/or failed to document that he had adequately administered Buprenorphine therapy to Patient C.
2. Respondent, beginning in or about December 2005, prescribed controlled substances to Patient C on an ongoing basis during Buprenorphine therapy.
3. Respondent, from on or about December 2005 through April 2010, failed to properly prescribe controlled substances to Patient C, and/or failed to document that he had properly prescribed controlled substances to Patient C.

D. Respondent provided medical care to Patient D, a female approximately 44 years old when treatment began, at various time between 2005 and 2010 at Respondent's Portland Avenue Office, at Westside Health Services, and at the Anthony Jordan Center. Respondent provided medical care for Suboxone treatment and chronic pain. Respondent's care of Patient D failed to meet minimum standards of care, in that:

1. Respondent failed to properly administer Buprenorphine therapy to Patient D, and/or failed to document that he had adequately administered Buprenorphine therapy to Patient D.
2. Respondent, beginning in or about January 2008, prescribed controlled substances to Patient D on an ongoing basis during Buprenorphine therapy.
3. Respondent, from on or about January 2008 and April 2009, failed to properly prescribe controlled substances to Patient D, and/or failed to document that he had properly prescribed controlled substances to Patient D.

SPECIFICATION OF CHARGES

FIRST SPECIFICATION

NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(3) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of:

1. The facts in A and A.1, A and A.2, A and A.3, A and A.4, B and B.1, B and B.2, B and B.3, B and B.4, B and B.5, C and C.1, C and C.2, C and C.3, D and D.1, D and D.2, and/or D and D.3.

SECOND SPECIFICATION

FAILURE TO MAINTAIN RECORDS

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(32) by failing to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient, as alleged in the facts of:

3. The facts in A and A.1, A and A.3, A and A.4, B and B.1, B and B.3, B and B.4, B and B.5, C and C.1, C and C.3, D and D.1, and/or D and D.3.

DATE: November 30, 2012
Albany, New York

REDACTED

Peter D. Van Buren
Deputy Counsel
Bureau of Professional Medical Conduct

EXHIBIT "B"

Terms of Probation

- 1) Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(19).
- 2) Respondent shall maintain active registration of Respondent's license (except during periods of actual suspension) with the New York State Education Department Division of Professional Licensing Services, and shall pay all registration fees.
- 3) Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Riverview Center, 150 Broadway, Suite 355, Albany, New York 12204-2719, with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information.
- 4) Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
- 5) Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law § 171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32].
- 6) The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of 30 consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive 30 day period. Respondent shall then notify the Director again at least 14 days before returning to active practice. Upon Respondent's return to active practice in New York State, the probation period shall resume and

Respondent shall fulfill any unfulfilled probation terms and such additional requirements as the Director may impose as reasonably relate to the matters set forth in Exhibit "A" or as are necessary to protect the public health.

- 7) The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
- 8) Respondent shall adhere to federal and state guidelines and professional standards of care with respect to infection control practices. Respondent shall ensure education, training and oversight of all office personnel involved in medical care, with respect to these practices.
- 9) Within thirty days of the Consent Order's effective date, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC. Any medical practice in violation of this term shall constitute the unauthorized practice of medicine.
 - a) Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
 - b) Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
 - c) Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
 - d) Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.

- 10) Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances.
- 11) Respondent shall enroll in and successfully complete a continuing education program in the area of urgent care practice, and coordinating care amongst providers. This continuing education program is subject to the Director of OPMC's prior written approval and shall be successfully completed within the first 90 days of the probation period.
- 12) Respondent shall comply with this Consent Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.