NEW YORK state department of

Public

Nirav R. Shah, M.D., M.P.H. Commissioner **HEALTH** 

Sue Kelly Executive Deputy Commissioner

June 30, 2011

### CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Kailash C. Lall, M.D. REDACTED

Re: License No. 138935

Dear Dr. Lall:

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Order No. 11-167. This order and any penalty provided therein goes into effect July 7, 2011.

Please direct any questions to: Board for Professional Medical Conduct, 433 River Street, Suite 303, Troy, NY 12180, telephone # (518)402-0863.

Sincerely,

REDACTED

Katherine A. Hawkins, M.D., J.D. Executive Secretary Board for Professional Medical Conduct

Enclosure

cc:

Michael J. Roach, Esq. Connors & Vilardo, LLP 1000 Liberty Building Buffalo, NY 14202

# NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

# OF KAILASH LALL, M.D.

CONSENT ORDER

BPMC No. 11-167

Upon the application of Kailash Lall, M.D. in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is

ORDERED, that the Consent Agreement, and its terms, are adopted and it is further

ORDERED, that this Consent Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.

SO ORDERED.

DATE: 6/28/11

REDACTED

KENDRICK A. SEARS, M.D. Chair State Board for Professional Medical Conduct

# NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

# OF KAILASH LALL, M.D.

CONSENT
AGREEMENT
AND
ORDER

Kailash Lall, M.D., represents that all of the following statements are true:

That on or about July 13, 1979, I was licensed to practice as a physician in the State of New York, and issued License No. 138935 by the New York State Education Department.

My current address is 725 Orchard Park Road, Suite A, Seneca, New York 14224 and I will advise the Director of the Office of Professional Medical Conduct of any change of address.

I understand that the New York State Board for Professional Medical Conduct (Board) has charged me with one specification of professional misconduct.

A copy of the Statement of Charges, marked as Exhibit "A", is attached to and part of this Consent Agreement.

I plead no contest to the first specification, in full satisfaction of the charges against me, and agree to the following penalty:

A three year stayed suspension of my medical license, with three years of probation in accordance with the terms set forth in Exhibit B, here to.

I further agree that the Consent Order shall impose the following conditions:

My license to practice medicine in New York State shall be permanently limited in the following:

In the course of practicing medicine in New York State, I shall examine and/or treat any patient only in the presence of a chaperone.

That Respondent shall remain in continuous compliance with all requirements of N.Y. Educ Law § 6502 including but not limited to the requirements that a licensee shall register and continue to be registered with the New York State Education Department (except during periods of actual suspension) and that a licensee shall pay all registration fees. Respondent shall not exercise the option provided in N.Y. Educ. Law § 6502(4) to avoid registration and payment of fees. This condition shall take effect 120 days after the Consent Order's effective date and will continue so long as Respondent remains a licensee in New York State; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Consent Order and in its investigations of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Consent Order. Respondent shall meet with a person designated by the Director of OPMC, as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Consent Order shall constitute misconduct as defined by N.Y. Educ. Law § 6530(29).

I agree that, if I am charged with professional misconduct in future, this Consent Agreement and Order **shall** be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to N.Y. Pub. Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Consent Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, OR upon facsimile transmission to me or my attorney, whichever is first. The Consent Order, this agreement, and all attached Exhibits shall be public documents, with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website.

I stipulate that the proposed sanction and Consent Order are authorized by N.Y. Pub. Health Law §§ 230 and 230-a, and that the Board and OPMC have the requisite powers to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the

Consent Order for which I apply, whether administratively or judicially, I agree to be bound by the Consent Order, and I ask that the Board adopt this Consent Agreement.

I understand and agree that the attorney for the Department, the Director of OPMC and the Chair of the Board each retain complete discretion either to enter into the proposed agreement and Consent Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

DATE	4/16/11	
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REDACTED

KAILASH LALL, M.D. RESPONDENT The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms and conditions.

DATE: 4/26/11

REDACTED

MICHAEL ROACH, ESQ. Attorney for Respondent

DATE: 4/29/11

REDACTED

JUDE B. MULYEY Associate Counsel Bureau of Professional Medical Conduct

DATE: 6/28/11

REDACTED

KEPTH W. SERVIS Director Office of Professional Medical Conduct

# NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

#### IN THE MATTER

OF

KAILASH LALL, M.D.

STATEMENT OF CHARGES

KAILASH LALL, M.D., the Respondent, was authorized to practice medicine in New York State on or about July 13, 1979, by the issuance of license number 138935 by the New York State Education Department.

### **FACTUAL ALLEGATIONS**

- A. Respondent provided medical care to Patient A at St. Joseph's Hospital, Buffalo, New York, from on or about June 26, 2002 through on or about July 1, 2002. Respondent's care and treatment of Patient A failed to meet acceptable standards of care in that:
  - Respondent failed to order an MRI of Patient A's spine or a myleogram on July 1, 2002;
  - Respondent failed to appropriately diagnosis and treat Patient A's spinal epidural abscess.
- B. Respondent provided medical care and treatment to Patient B at his medical offices located at 725 Orchard Park Road, Suite A, West Seneca, New York (hereinafter the "office") on or about April 8, 2004. Respondent's care and treatment of Patient B did not meet acceptable standards of care in that:
  - Respondent inappropriately examined Patient B during her examination;

- C. Respondent provided medical care and treatment to Patient C at his office from on or about November 27, 2006 through March 5, 2007. Respondent's care and treatment of Patient C failed to meet acceptable standards of care in that:
  - Respondent failed to properly conduct an electromyogram and/or nerve conduction study of Patient C's arm;
  - Respondent inappropriately examined Patient C during an examination on November 11, 2007.

## FIRST SPECIFICATION

## **NEGLIGENCE ON MORE THAN ONE OCCASION**

- Respondent is charged with committing professional misconduct as defined in New York Education Law § 6530 (3) by practicing with negligence on more than one occasion, as alleged in two or more of the following:
  - Paragraphs A and A.1, A and A.2, Paragraph B and B.1 and/or C and C.1 and/or C and C.2.

DATE:

April 29, 2011 November , 2010 Albany, New York

REDACTED

Peter Van Buren Deputy Counsel Bureau of Professional Medical Conduct

#### EXHIBIT "B"

#### Terms of Probation

- 1. Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(19).
- Respondent shall maintain active registration of Respondent's license (except during periods of actual suspension) with the New York State Education Department Division of Professional Licensing Services, and shall pay all registration fees.
- Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Hedley Park Place, 433 River Street Suite 303, Troy, New York 12180-2299 with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information.
- Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
- Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law § 171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32].
- The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of 30 consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive 30 day period. Respondent shall then notify the Director again at least 14 days before returning to active practice. Upon Respondent's return to active practice in New York State, the probation period shall resume and Respondent shall fulfill any unfulfilled probation terms and such additional requirements as the Director may impose as reasonably relate to the matters set forth in Exhibit "A" or as are necessary to protect the public health.

- 7. The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
- Respondent shall adhere to federal and state guidelines and professional standards of care with respect to infection control practices. Respondent shall ensure education, training and oversight of all office personnel involved in medical care, with respect to these practices.
- Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances.
- Respondent shall enroll in and complete a continuing education program in the area of general ethics. This continuing education program is subject to the Director of OPMC's prior written approval and shall be completed within the first 90 days of the probation period.

#### CHAPERONE

- 11. Respondent shall, in the course of practicing medicine in New York State, examine and/ treat any patient only in the presence of a chaperone. The chaperone shall be a licensed or registered health care professional or other health care worker, shall not be a family member, personal friend, or be in a professional relationship with Respondent which could pose a conflict with the chaperone's responsibilities. The chaperone shall be proposed by Respondent and subject to the written the approval of the Director of OPMC.
- 12. Prior to the approval of any individual as chaperone, Respondent shall cause the proposed chaperone to execute and submit to the Director of OPMC an acknowledgment of the chaperone's agreement to undertake all of the responsibilities of the role of chaperone. Said acknowledgment shall be made upon a form provided by and acceptable to the Director. Respondent shall provide the chaperone with a copy of the Order and all of its attachments and shall, without fail, cause the approved chaperone to:
  - Report quarterly to OPMC regarding the chaperoning of Respondent's practice.
  - b. Report within 24 hours any failure of Respondent to comply with the Order, including, but not limited to, any failure by Respondent to have the chaperone present when required, any sexually suggestive or otherwise inappropriate comments by Respondent to any patient, and any actions of a sexual nature by Respondent in the presence of any patient.
  - c. Confirm the chaperone's presence at each and every examination and treatment of a patient by Respondent, by placing the chaperone's name, title and date in the patient record for each and every visit, and by maintaining a separate log, kept in the chaperone's own possession, listing the patient name and date of visit for each and every patient visit chaperoned.

- d. Provide copies of the log described in paragraph c, above, to OPMC at least quarterly and also immediately upon the Director's request.
- 13. Respondent shall comply with this Consent Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.