



New York State Board for Professional Medical Conduct

433 River Street, Suite 303 • Troy, New York 12180-2299 • (518) 402-0863

Antonia C. Novello, M.D., M.P.H., Dr. P.H.
*Commissioner
NYS Department of Health*

Dennis P. Whalen
*Executive Deputy Commissioner
NYS Department of Health*

Dennis J. Graziano, Director
Office of Professional Medical Conduct

PUBLIC

Michael A. Gonzalez, R.P.A.
Vice Chair

Ansel R. Marks, M.D., J.D.
Executive Secretary

November 1, 2004

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Alan Rose, D.O.
19 Dove Lane
Bayshore, NY 11706

Re: License No. 097643

Dear Dr. Rose:

Enclosed please find Order #BPMC 04-247 of the New York State Board for Professional Medical Conduct. This order and any penalty provided therein goes into effect November 8, 2004.

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order to the Board for Professional Medical Conduct, New York State Department of Health, Hedley Park Place, Suite 303, 433 River Street, Troy, New York 12180.

Sincerely,

Ansel R. Marks, M.D., J.D.

Executive Secretary

Board for Professional Medical Conduct

Enclosure

cc: Rudolph C. Gabriel, Esq.
Kern, Augustine, Conroy & Schoppman, P.C.
420 Lakeville Road
Lake Success, NY 11042

NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
ALAN ROSE, D.O.

CONSENT
ORDER

BPMC No. 04-247

Upon the application of ALAN ROSE, D. O. in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is

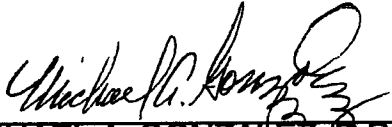
ORDERED, that the Consent Agreement, and its terms, are adopted and SO ORDERED, and it is further

ORDERED, that this Order shall be effective upon issuance by the Board, either

- by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR
- upon facsimile transmission to Respondent or Respondent's attorney, Whichever is first.

SO ORDERED.

DATED: 10/27/04


MICHAEL A. GONZALEZ, R.P.A.
Vice Chair
State Board for Professional Medical Conduct

**NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT**

**IN THE MATTER
OF
ALAN ROSE, D.O.**

**CONSENT
AGREEMENT
AND
ORDER**

ALAN ROSE, D.O., (Respondent), representing that all of the following statements are true, deposes and says:

That on or about September 20, 1966, I was licensed to practice as a physician in the State of New York, having been issued License No. 097643 by the New York State Education Department.

My current address is 900 Straight Path, West Babylon, New York and I will advise the Director of the Office of Professional Medical Conduct of any change of my address.

I understand that the New York State Board for Professional Medical Conduct has charged me with five (5) specifications of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit "A".

I state that I cannot successfully defend against at least one of the acts of misconduct alleged, in full satisfaction of the charges against me and I hereby agree to the following penalty:

- I shall be subject to a Censure and Reprimand pursuant to §230-a-(1) of the Public Health Law.**
- Pursuant to 230-a(9) of the Public Health Law, I shall be placed on probation for a period of three (3) years beginning from the date of the Order herein and subject to the terms set forth in Exhibit "B".**

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall maintain current registration of licensure with the New York State Education Department Division of Professional Licensing Services, except during periods of actual suspension and shall pay all registration fees. This Condition shall take effect thirty (30) after the Consent Order effective date and will continue so long as Respondent remains licensed in New York State; and

That Respondent shall cooperate fully in every respect with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Order and in its investigation of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Order. Respondent shall meet with a person designated by the Director of OPMC as directed. Respondent shall respond promptly and provide any and all documents and information within Respondent's control as directed. This Condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Order shall constitute misconduct as defined by New York State Education Law §6530(29).

I agree that if I am charged with professional misconduct in the future, this Agreement and Order shall be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that, if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to any pending disciplinary proceeding and the Board's final determination pursuant to the provisions of the Public Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issued a Consent Order in accordance with its terms. I agree that this Order shall be effective upon issuance by the Board, either by mailing a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, or upon facsimile transmission to me or my attorney, whichever is first.

I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the Consent Order for which I apply, whether administratively or judicially, and I agree to be bound by the Consent Order and ask that the Board adopt this Consent Agreement.



ALAN ROSE, D.O.
RESPONDENT

DATED 10/7/04


The undersigned agree to the attached application of the Respondent and to the proposed Conditions.

DATE: 10/12/04



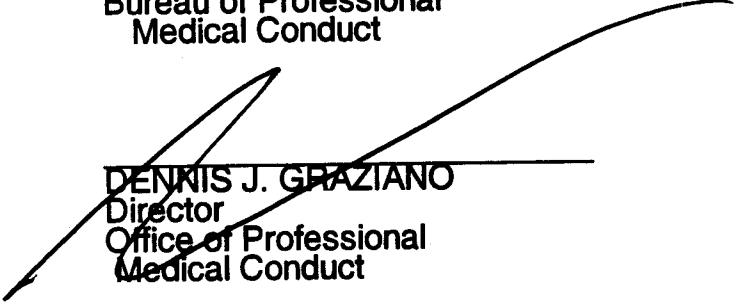
RUDOLPH GABRIEL, ESQ.
Attorney for Respondent

DATE: 10/15/04



DAVID W. SMITH
Associate Counsel
Bureau of Professional
Medical Conduct

DATE: 10/22/04



DENNIS J. GRAZIANO
Director
Office of Professional
Medical Conduct

EXHIBIT "A"

NEW YORK STATE DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

ALAN ROSE, D.O.

STATEMENT

OF

CHARGES

ALAN ROSE, D.O., the Respondent, was authorized to practice medicine in New York State on or about September 20, 1966, by the issuance of license number 097643 by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. Beginning in or about July, 1970 and over approximately the next 24 years, Respondent treated Patient A for back problems at his office ("Office") at 900 Straight Path Road, Babylon, New York.
1. Throughout the period, Respondent inappropriately failed to obtain an adequate medical history of or note such history, if any.
 2. Throughout the period, Respondent inappropriately failed to perform an adequate physical examination of or note such examination. if any.
 3. Respondent failed to evaluate, diagnose or treat Patient A's condition or note such evaluation, diagnosis or treatment, if any.

4. Respondent inappropriately prescribed anxiolytic medications for Patient A and failed to note the justification therefor, if any.
- B. Patient B was treated by Respondent at his Office between in or about January, 1996 through in or about June, 2000 for weight control.
1. Throughout the period, Respondent inappropriately failed to obtain an adequate medical history or note such history, if any.
 2. Throughout the period, Respondent inappropriately failed to perform an adequate physical examination or note such examination, if any.
 3. Respondent inappropriately failed to properly evaluate, diagnose or treat Patient B's condition or note such evaluation, diagnosis or treatment, if any.
- C. Between in or about 1999 through in or about October, 2000, Respondent treated Patient C at his Office for allergies and other medical conditions.
1. Respondent inappropriately failed to obtain an adequate medical history or note such history, if any.
 2. Respondent inappropriately failed to perform an adequate physical examination or note such examination, if any.

3. Respondent inappropriately failed to properly evaluate, diagnose or treat Patient C's condition or note such evaluation, diagnosis or treatment, if any.

D. Respondent treated Patient D at his Office during 1996 and 1997 for hypertension and other medical conditions.

1. Respondent inappropriately failed to obtain an adequate medical history or note such history, if any.

2. Respondent inappropriately failed to perform an adequate physical examination or note such examination, if any.

3. Respondent inappropriately failed to properly evaluate, diagnose or treat Patient D's condition or note such evaluation, diagnosis or treatment, if any.

SPECIFICATION OF CHARGES

FIRST SPECIFICATION

PRACTICING WITH NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(3) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of two or more of the following:

1. Paragraphs A and A1-4 and/or B and B1-3and/orC and C1-3 and/or D and D1-3.

SECOND THROUGH FIFTH SPECIFICATIONS

FAILURE TO MAINTAIN RECORDS

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(32) by failing to maintain a record for each patient which accurately reflects the care and treatment of the patient, as alleged in the facts of:

2. Paragraphs A and A1-4.
3. Paragraphs B and B1-3.
4. Paragraphs C and C1-3.
5. Paragraphs D and D1-3.

DATED: *OCT 15*
August, 2004
New York, New York



ROY NEMERSON
Deputy Counsel
Bureau of Professional
Medical Conduct

EXHIBIT "B"

TERMS OF PROBATION

1. Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by New York State Education Law §6530 or §6531 shall constitute a violation of these conditions and may subject Respondent to an action pursuant to New York State Public Health Law §230(9).
2. Respondent shall maintain current registration of licensure with the New York State Education Department Division of Professional Licensing Services (except during periods of actual suspension), and shall pay all registration fees.
3. Respondent shall provide the Director, Office of Professional Medical Conduct, (OPMC) 433 River Street, Suite 303, Troy, NY 12180-2299; with the following information, in writing and ensure that such information is kept current: a full description of Respondent's employment and practice, all professional and residential addresses and telephone numbers within or outside New York State, and all investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action.
4. Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the request of the Director of OPMC, Respondent shall meet in person with the Director's designee.
5. Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State department of Taxation and Finance for collection; and non-renewal of permits or licenses. [Tax Law §171(27); State Finance Law §18; CPLR §5001; Executive Law §32].
6. The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of thirty (30) consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive thirty (30) day period. Respondent shall then notify the Director again at least fourteen (14) days before returning to active practice. Upon Respondent's return to active practice in New York State, the probation period will resume and Respondent shall fulfill any unfulfilled probation terms at that time.
7. The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records and/or hospital charts; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.

8. Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances.
9. Respondent shall enroll in and complete a continuing education program in the areas of record-keeping. This continuing education program is subject to the Director of OPMC's prior written approval which shall not be unreasonably withheld and shall be completed within the first year of the probation period.

PRACTICE MONITOR

10. Within thirty days of the effective date of the order, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC.
 - a. Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
 - b. Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
 - c. Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
 - d. Respondent shall maintain medical malpractice insurance coverage in limits no less than \$2 million per occurrence and \$6 million per policy year; in accordance with §230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.
11. Respondent shall comply with this Order and its terms, and shall bear all associated compliance costs. Upon receiving evidence of non-compliance with, or violation of, these terms, the Director of OPMC and/or the Board may initiate a disciplinary proceeding, and/or any other such proceeding authorized by law, against Respondent.