# New York State Board for Professional Medical Conduct



Corning Tower • Empire State Plaza • Albany, NY 12237 • (518) 474-8357

Charles J. Vacanti, M.D. Chair

June 28, 1996

# **CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

Joo-Sock Yang, M.D. 3905 Sacramento Street San Francisco, California 94118

License No. 136692

Dear Dr. Yang:

Effective Date: 07/05/96

Enclosed please find Order #BPMC 96-151 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect upon receipt of this letter or seven (7) days after the date of this letter, whichever is earlier.

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order.

Board for Professional Medical Conduct New York State Department of Health Empire State Plaza Tower Building-Room 438 Albany, New York 12237-0756

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address:

Bureau of Accounts Management New York State Department of Health Empire State Plaza Tower Building-Room 1245 Albany, New York 12237

Sincerely,

Charles Vacanti, M.D.

Chair

**Board for Professional Medical Conduct** 

Charles Valvate

#### Enclosure

cc: Robert Adams, Esq. Adams & Dayter

39 N. Pearl Street

Albany, New York 12207

Cindy Fascia, Esq.

STATE OF NEW YORK : DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

: ORDER

JOO-SOCK YANG, M.D.

BPMC #96-151

Upon the application of JOO-SOCK YANG, M.D. (Respondent) for Consent Order, which application is made a part hereof, it is

ORDERED, that the application and the provisions thereof are hereby adopted and so ORDERED, and it is further

ORDERED, that this order shall take effect as of the date of the personal service of this order upon Respondent, upon receipt by Respondent of this order via certified mail, or seven days after mailing of this order by certified mail, whichever is earliest.

SO ORDERED,

DATED: 26 June 1996

Charles J. Vacanti, M.D.

Chairperson

State Board for Professional

Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEADIN		
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT		
	X	
IN THE MATTER	:	APPLICATION
OF	:	FOR
JOO-SOCK YANG, M.D.	:	CONSENT
	:	ORDER
	- X	
STATE OF CALIFORNIA )  COUNTY OF SAN FRANCISCO )		

JOO-SOCK YANG, M.D., being duly sworn, deposes and says:

I was licensed to practice as a physician in the State of

New York on November 3, 1978, having been issued License No.

136692 by the New York State Education Department.

I am not currently registered with the New York State Education Department to practice as a physician in the State of New York.

I understand that the New York State Board for Professional Medical Conduct has charged me with one specification of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit A.

I do not contest the charges brought against me by the New York State Board for Professional Medical Conduct, in that I was disciplined by the Medical Board of California, as set forth in Paragraph 1 of the Factual Allegations in Exhibit A. A copy of the Stipulation and Waiver that I entered into with the Medical Board of California is attached as Exhibit B. In said Stipulation, which was accepted by the Medical Board of California, I admitted to general unprofessional conduct, and denied all allegations of gross negligence, repeated negligent acts, incompetence, dishonesty, and sexual abuse or misconduct. In this Application for Consent Order with the New York State Board, I make no admission of any additional conduct which may have been set forth either in the original charges brought by the Medical Board of California or set forth in the Statement of Charges by the New York State Board (Exhibit A). I understand that the New York Board's action against me is based solely on the Medical Board of California's action, and that no additional conduct has been charged or alleged in New York State.

I hereby agree to the following penalties:

- 1) A censure and reprimand
- 2) A fine of one thousand dollars (\$1,000.00)

I understand that I must pay said fine in full within thirty days of the effective date of the final Order in this matter. I further understand that any fine or civil penalty not paid by the required date shall be subject to all provisions of law relating to debt collection by the State of New York, including but not limited to the imposition of interest, late payment charges and collection fees, referral to the New York State Department of Taxation and Finance for collection, and non-renewal of permits or licenses.

I hereby make this Application to the State Board for Professional Medical Conduct (the Board) and request that it be granted.

I understand that, in the event that this Application is not granted by the Board, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me, such Application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.

I agree that, in the event the Board grants my Application, as set forth herein, an order of the Chairperson of the Board shall be issued in accordance with same.

I am making this Application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner. G, M.D. Jung

Sworn to before me this

day of

1996.

NOTARY PUBLIC



STATE OF NEW YORK : DEPARTM	ENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEI	DICAL CONDUCT
	X
IN THE MATTER	: APPLICATION
OF	: FOR
JOO-SOCK YANG, N	: CONSENT
	: ORDER
	X
The undersigned agree to the Respondent and to the proposed proposed conditions thereof.	ne attached application of the benalty based on the terms and
DATE: 6/19/9/	JOO-SOCK YANG, M.D. Respondent
DATE: 6/20/96	ROBERT ADAMS, ESQ. Attorney for Respondent
DATE: 6/31/96	PETER DIAL BUREL - DEPUTY COLLEGE PETER DIAL BUREL - DEPUTY COLLEGE PETER SOCIATE COUNSEL  Bureau of Professional  Medical Conduct

DATE: June 21, 1996

DATE: 26 June 1996

Am Jack

ANNE F. SAÍLE ACTING DIRECTOR

Office of Professional Medical

Conduct

CHARLES J. VACANTI, M.D.

CHAIRPERSON

State Board for Professional

Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

: STATEMENT

OF

OF

JOO-SOCK YANG, M.D.

: CHARGES

\_\_\_\_X

JOO-SOCK YANG, M.D., the Respondent, was authorized to practice medicine in New York State on November 3, 1978, by the issuance of license number 136692 by the New York State Education Department. The Respondent is not currently registered with the New York State Education Department.

#### FACTUAL ALLEGATIONS

1. The Medical Board of California on or about June 20, 1994, filed an Accusation against Respondent. Thereafter, on or about March 28, 1995, Respondent and the Board executed a Stipulation and Waiver, whereby the parties agreed that Respondent would be publicly reprimanded for general unprofessional conduct. Respondent admitted to general unprofessional conduct pursuant to California Business and Professions Code §2234 as set forth in Accusation No.12-92-22196, and acknowledged that this was grounds for discipline under California law. The Board issued a Decision on or about June 20, 1995 adopting the Stipulation, and a Public Letter of Reprimand was issued to Respondent on July 20, 1995. Respondent also agreed to pay the Board one thousand dollars (\$1,000.00) for

costs of the investigation.

- The conduct resulting in the California Board's disciplinary action against Respondent, as set forth in Accusation No.12-92-22196, included failure to refer Patient K.W., a minor, to a surgeon with knowledge of pediatric development issues or to a pediatrician to consider developmental and pathophysiological issues prior to performing a biopsy of a lump in the patient's breast; failure to obtain written informed consent; failure to obtain a mammogram prior to the biopsy; failure to perform a preoperative physical, failure to obtain a preoperative blood count; failure to perform the biopsy in a proper setting with adequate personnel available in case of emergency; failure to note in the medical record an examination he performed of the patient's inguinal area; after the finishing the biopsy, telling K.W., who was still lying on the table, to put her knees up, and putting his right hand into her panties, with his finger to her vulva; laughing at K.W. when she turned away from him and toward the wall after he touched her vulva.
- 3. The conduct resulting in the California Board's disciplinary action against Respondent would, if committed in New York State, constitute professional misconduct under the laws of New York State, including but not limited to N.Y. Educ. Law §6530(4) [gross negligence]; and/or N.Y. Educ. Law §6530 (3) [negligence on more than one occasion]; and/or N.Y. Educ. Law §6530(31) [willfully abusing a patient]; and/or N.Y. Educ. Law §6530(20) [moral unfitness]; and/or N.Y. Educ. §6530(32) [failing to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient].

#### **SPECIFICATION**

Respondent is charged with professional misconduct under N.Y. Education Law §6530(9)(d) (McKinney Supp. 1996), by reason of having his license to practice medicine revoked, suspended or having other disciplinary action taken, or having his application for a license refused, revoked or suspended or having voluntarily or otherwise surrendered his license after a disciplinary action was instituted by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the revocation, suspension or other disciplinary action involving the license or the refusal, revocation or surrender of the license would, if committed in New York State, constitute professional misconduct under the laws of New York state, in that Petitioner charges:

1. The facts in paragraphs 1 through 3.

DATED: Usul 8 , 1996

Deputy Counsel

Bureau of Professional Medical Conduct

# ORIGINAL

1	DANIEL E. LUNGREN, Attorney General			
2	of the State of California ISA R. RODRIGUEZ			
3	Deputy Attorney General STATE BAR NO. 104838			
4	2101 Webster Street, 12th Floor Oakland, CA 94612-3049			
5	Telephone: (510) 286-4042			
6	Attorneys for Complainant			
7				
	BEFORE THE			
8	MEDICAL BOARD OF CALIFORNIA DIVISION OF MEDICAL QUALITY			
9	STATE OF CALIFORNIA			
10	In the Matter of the Accusation Against:  No. 12-92-22196			
11	JOO-SOCK YANG, M.D. OAH No. N9408180			
12	3905 Sacramento Street, No. 203 San Francisco, CA 94118 San Francisco, CA 94118			
13	Physician and Surgeon Certificate No. A33778			
14	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
15	Respondent.			
16	)			
17	IT IS HEREBY STIPULATED by and between the respondent			
18	JOO-SOCK YANG, M.D., by and through his attorney, Ronald G. Sproat, and the			
19	Executive Director of the Medical Board of California, by and through its attorney, Isa			
20	R. Rodriguez, Deputy Attorney General, as follows:			
21	1. That Accusation No. 12-92-22196 is presently pending against			
22	respondent JOO-SOCK YANG, M.D., (hereinafter referred to as "respondent") in the			
23	above-entitled matter.			
24	2. That respondent was served by registered mail with the Accusation			
25	Statement to Respondent, and Notice of Defense in the above-entitled matter.			
26	3. That respondent understands the nature of the charges alleged in			
27	the above-mentioned pending Accusation as constituting possible grounds for			

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- 4. That respondent is fully aware of his right to a hearing on the charges and allegations contained in the above-mentioned pending accusation.

on the charges and allegations contained in the above-mentioned pending accusation

and all other rights which may be accorded him by the Administrative Procedure Act

That respondent fully and voluntarily waives his right to a hearing

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- and that he further agrees to waive his right to reconsideration, judicial review, and any
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- and the law of the State of California with regard to Accusation No. 12-92-22196.
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  - adoption by said agency.
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- 6. That it is acknowledged by the parties hereto that this Stipulation constitutes an offer in settlement to the Medical Board of California (hereinafter referred to as "Board") and that it, and the recitals therein, are not effective until
- 7. That in the event this Stipulation is not adopted by the Board, nothing herein recited shall be construed as a waiver of respondent's right to a hearing or as an admission of the truth of any of the matters charged in the Accusation.
- 8. That all admissions of fact and conclusions of law contained in this Stipulation are made exclusively for this proceeding and any further proceedings between the Board and the respondent and shall not be deemed to be admissions for any purpose in any other administrative, civil or criminal action, forum, or proceeding.
- 9. That respondent denies all allegations of gross negligence, repeated negligent acts, incompetence, dishonesty, and sexual abuse or misconduct, but admits to general unprofessional conduct pursuant to Business and Professions Code section 2234 as set forth in Accusation No. 12-92-22196 (hereinafter attached as Exhibit A), and acknowledges that this would be grounds for discipline.
- 10. That respondent asserts that had this matter proceeded to hearing he would have introduced rebuttal and mitigatory evidence as to the allegations, but has chosen to forego this right for purposes of these negotiations and in order to avoid the

I hereby certify that I have read this Stipulation and Waiver in its entirety, that my attorney of record has fully explained the legal significance and consequences thereof, that I fully understand all of same, and in witness thereof I affix my signature. 3/17/95 Respondent C:ISA\YANG.S&W 

TAL BOARD OFFEERIN DANIEL E. LUNGREN, Attorney General 1 of the State of California 2 ISA R. RODRIGUEZ, Deputy Attorney General 3 Department of Justice Cyndii Kaya 11-29-95 About Custediani of free 2101 Webster Street, 12th Floor Oakland, California 94612 4 Telephone: (510) 286-4042 5 Attorneys for Complainant 6 BEFORE THE 7 MEDICAL BOARD OF CALIFORNIA DIVISION OF MEDICAL QUALITY 8 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 9 10 12-92-22196 NO. In the Matter of the Accusation 11 Against: 12 ACCUSATION JOO-SOCK YANG, M.D. 3905 Sacramento Street, No. 203 13 San Francisco, CA 94118 14 Physician's and Surgeon's 15 Certificate No. A33778, Respondent. 16 17 The Complainant alleges: 18 PARTIES 19 20 Complainant, Dixon Arnett, is the Executive 21 Director of the Medical Board of California (hereinafter the 22 "Board") and brings this accusation solely in his official 23 capacity. On or about April 28, 1979, Physician's and 2. 25 Surgeon's Certificate No. A33778 was issued by the Board to 26 Joo-Sock Yang, M.D. (hereinafter "respondent"), and at all times 27

relevant to the charges herein brought, said license has been in full force and effect.

#### JURISDICTION

- 3. Business and Professions Code <sup>1/</sup>Sections 2004 and 2220 provide that the Division of the Medical Quality ("Division") of the Board has authority to enforce and administer the disciplinary provisions of the Medical Practice Act (Bus. & Prof. Code Section 2000, et. seq.) as they apply to physicians and surgeons.
- 4. Section 2227 provides that the Board may revoke, suspend for a period not to exceed one year or place on probation the license of any licensee, or publicly reprimand a licensee who has been found guilty under the Medical Practice Act.
  - 5. Section 726 provides in pertinent part:

    "The commission of any act of sexual abuse, misconduct, or relations with a patient, client, or customer constitutes unprofessional conduct and grounds for disciplinary action for any person licensed under this division..."
  - 6. Section 2234 provides in pertinent part:

    "The Division of Medical Quality shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

    "(a) Violating or attempting to violate, directly or

<sup>1.</sup> All statutory references in this accusation shall be to the Business and Professions Code, unless expressly stated otherwise.

indirectly, or assisting in or abetting the violation of, or conspiring to violate, any provision of this chapter.

- "(b) Gross negligence.
- "(c) Repeated negligent acts.
- "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon..."

## FIRST CAUSE FOR DISCIPLINE

- Respondent's certificate is subject to disciplinary 7. action under section 726 in that he committed an act of sexual abuse, misconduct, or had relations with a patient, which constitutes unprofessional conduct and grounds for disciplinary action as follows:
- On or about June 20, 1991, K. W., $\frac{2}{}$  a minor (D.O.B. 8/1/77), and her mother went to respondent's office seeking medical treatment for a painful lump in minor's breast. The lump was a small bump located under the skin on the aureole of her right breast near the nipple. Respondent checked the lump and it was agreed that respondent would perform a biopsy immediately.
- Prior to performing the biopsy, respondent did not refer patient to a surgeon with knowledge of pediatric development issues or to a pediatrician to consider

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<sup>26</sup> For privacy reasons the full name of the patient is not set forth in the Accusation but will be provided in discovery to respondent.

developmental and pathophysiological issues. It is questionable whether any surgery was necessary as either a breast bud or fibroadenoma are benign and breast cancer at the age of 13 is rare. Removal of a breast bud could prevent development of the breast.

- C. Prior to the biopsy, no mammogram was done.

  Respondent failed to get written informed consent; respondent failed to get a preoperative blood count and perform a preoperative physical. The biopsy was not done in a proper setting, a hospital operating room or qualified surgical center, with adequate personnel available in case some emergency arose. Respondent examined the patient's inguinal area where no masses were found; this negative finding was not noted in K.W.'s chart.
- D. During the biopsy, there was no nurse present to assist the respondent. K.W. was not draped for the biopsy procedure. Blood ran over her right shoulder and down her back during the procedure.
- E. K.W.'s mother was with her during the appointment and at the beginning of the procedure. Her mother began to watch the procedure, but she became sick in seeing the blood and left the room in the middle of the procedure. Respondent failed to have a chaperon present during the inguinal area examination.
- F. After respondent finished the biopsy, while K.W. was still lying on the table, the respondent told K.W. to put her knees up. Then respondent stuck his right hand down into her panties, with his finger to the vulva, not penetrating the vaginal canal. K.W. turned away toward the wall and respondent

took his hand away and laughed at her.

### SECOND CAUSE OF DISCIPLINE

8. Respondent's certificate is subject to disciplinary action under section 2234(e) in that he committed dishonest or corrupt acts in that he used the guise of a medical examination to commit acts of sexual abuse or misconduct with a patient as set forth in paragraphs 7.E and 7.F, above.

# THIRD CAUSE FOR DISCIPLINE

9. Respondent's certificate is subject to disciplinary action for gross negligence and/or repeated negligent acts and/or incompetence under section 2234(b) and/or (c) and/or (d) in that he performed the biopsy without obtaining a preoperative blood count or a preoperative physical and without obtaining a written informed consent. Moreover, the biopsy was performed in an improper setting and without a nurse to assist or a chaperon to observe. Such information is set forth in paragraphs 7.C, 7.D., and 7.E, above.

#### FOURTH CAUSE FOR DISCIPLINE

10. Respondent's certificate is subject to disciplinary action for gross negligence and/or repeated negligent acts and/or incompetence under section 2234(b) and/or (c) in that he performed the biopsy without referring the patient to a surgeon with knowledge of pediatric development issues or to a pediatrician as is set forth in paragraph 7.B., above.

# COSTS AND OTHER MATTERS

- 11. Section 125.3 provides in pertinent part that:
- "(a) Except as provided by law, in any order issued in

resolution of a disciplinary proceeding before any board within the department ... the board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

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- "(c) A certified copy of the actual costs, or a good faith estimate of costs where actual costs are not available, signed by the entity bringing the proceeding or its designated representative shall be prima facie evidence of reasonable costs of investigation and prosecution of the case. The costs shall include the amount of investigative and enforcement costs up to the date of the hearing, including, but not limited to, charges imposed by the Attorney General.
- "(d) The administrative law judge shall make a proposed finding of the amount of reasonable costs of investigation and prosecution of the case when requested pursuant to subdivision (a). The finding of the administrative law judge with regard to costs shall not be reviewable by the board to increase the coast award. The board may reduce or eliminate the cost award, or remand to the administrative law judge where the proposed decision fails to make a finding on costs requested pursuant to subdivision (a)...."

#### PRAYER

12. For the reasons set forth in paragraphs 1 through

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11, inclusive, of this accusation, good cause exists to impose discipline on the physician's and surgeon's certificate issued to respondent.

WHEREFORE, the complainant requests that a hearing be held on the matters herein alleged, and that following said hearing, the Board issue a decision:

- Revoking or suspending Physician's and Surgeon's Certificate Number A33778, heretofore issued to respondent Joo-Sock Yang, M.D.;
- Ordering respondent Joo-Sock Yang, M.D. to pay the 2. actual and reasonable costs for the investigation, prosecution and enforcement of this matter, as such costs may be determined by the administrative law judge;
- Taking such other and further action as the Board 3. deems appropriate to protect the public health, safety and welfare.

June 20, 1994 DATED:

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27 A:\yang.acc Dixon Arnett Executive Director Medical Board of California Department of Consumer Affairs State of California

Complainant STATE OF CAUPOTHIA THOSE EDARD OF COLLEGEIST.