

#### New York State Board for Professional Medical Conduct

433 River Street, Suite 303 • Troy, New York 12180-2299 • (518) 402-0863

Antonia C. Novello, M.D., M.P.H.

Commissioner

NYS Department of Health

Dennis P. Whalen

Executive Deputy Commissioner

NYS Department of Health

Anne F. Saile, Director

Office of Professional Medical Conduct

William P. Dillon, M.D. Chair

Denise M. Bolan, R.P.A. Vice Chair

Ansel R. Marks, M.D., J.D. Executive Secretary

May 18, 2000

#### CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Hans Joachim Nieporent, M.D. 2623 East 16th Street Brooklyn, NY 11235

RE:

License No. 080501

Dear Dr. Nieporent:

Enclosed please find Order #BPMC 00-154 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect May 18, 2000.

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order to Board for Professional Medical Conduct, New York State Department of Health, Hedley Park Place, Suite 303, 433 River Street, Troy, New York 12180.

Sincerely,

Ansel R. Marks, M.D., J.D.

**Executive Secretary** 

**Board for Professional Medical Conduct** 

Enclosure

cc:

Seth P. Stein, Esq.

Stein and Schonfeld

100 Quentin Roosevelt Boulevard, Suite 509

Garden City, NY 11530

Ann Gayle, Esq.

### NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

## IN THE MATTER OF HANS JOACHIM NIEPORENT, M.D.

CONSENT
AGREEMENT
AND
ORDER
BPMC #00-154

STATE OF NEW YORK)

ŚS.:

COUNTY OF NASSAU )

HANS JOACHIM NIEPORENT, M.D., (Respondent) being duly sworn, deposes and says:

That on or about February 22, 1958, I was licensed to practice as a physician in the State of New York, having been issued License No. 080501, by the New York State Education Department.

My current address is 2623 East 16<sup>th</sup> Street, Brooklyn, New York 11235, and I will advise the Director of the Office of Professional Medical Conduct of any change of my address.

I understand that the New York State Board for Professional Medical Conduct has charged me with six specifications of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit "A".

I agree not to contest the SECOND THROUGH SIXTH SPECIFICATIONS, in full satisfaction of the charges against me. I hereby agree to the following penalty:

A Censure and Reprimand.

Probation for a period of five years, the terms of which are

enumerated in Exhibit "B".

I further agree that the Consent Order for which I hereby apply shall impose the following conditions:

That, except during periods of actual suspension,
Respondent shall maintain current registration of
Respondent's license with the New York State Education
Department Division of Professional Licensing Services,
and pay all registration fees. This condition shall be in
effect beginning thirty days after the effective date of the
Consent Order and will continue while the licensee
possesses his/her license; and

That Respondent shall fully cooperate in every respect with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Order and in its investigation of all matters regarding Respondent.

Respondent shall respond in a timely manner to each and every request by OPMC to provide written periodic verification of Respondent's compliance with the terms of this Order.

Respondent shall meet with a person designated by the Director of OPMC as directed. Respondent shall respond promptly and provide any and all documents and information within Respondent's control upon the direction of OPMC. This condition shall be in effect beginning upon the effective date of the Consent Order and will continue while the licensee possesses his/her license.

I hereby stipulate that any failure by me to comply with such conditions shall constitute misconduct as defined by New York State Education Law §6530(29).

I agree that in the event I am charged with professional misconduct in the future, this agreement and order shall be admitted into evidence in that proceeding.

I hereby make this Application to the State Board for Professional Medical Conduct (the Board) and request that it be granted.

I understand that, in the event that this Application is not granted by the Board, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me, such Application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.

I agree that, in the event the Board grants my Application, as set forth herein, an order of the Chairperson of the Board shall be issued in accordance with same. I agree that such order shall be effective upon issuance by the Board which may be accomplished by mailing, by first class mail, a copy of the Consent Order to me at the address set forth in this agreement, or to my attorney, or upon transmission via facsimile to me or my attorney, whichever is earliest.

I am making this Application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner. In consideration of the value to me of the acceptance by the Board of this Application, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive any right I may have to contest the Consent Order for which I hereby apply, whether administratively or judicially, and ask that the

Application be granted.

DATED 5 9 2000

Sworn to before me on this 9 day of May, 2000

NOTARY

SETH PHILIP STEIN
NOTARY PUBLIC, State of New York
No. 30-4501388
Qualified in Nassau County
Commission Expires March 30, 192 0

The undersigned agree to the attached application of the Respondent and to the proposed penalty based on the terms and conditions thereof.

DATE: 5/9/2000

SETH P. STEIN, ESQ. Attorney for Respondent

DATE: May 15, 2000

ANN GAYLE
Associate Counsel
Bureau of Professional
Medical Conduct

DATE: <u>May 17, 2000</u>

ANNE F. SAILE Director

Office of Professional Medical Conduct

## NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

### IN THE MATTER OF HANS JOACHIM NIEPORENT, M.D.

CONSENT **ORDER** 

Upon the proposed agreement of Hans Joachim Nieporent, M.D. (Respondent) for Consent Order, which application is made a part hereof, it is agreed to and

ORDERED, that the application and the provisions thereof are hereby adopted and so ORDERED, and it is further

ORDERED, that this order shall be effective upon issuance by the Board, which rnay be accomplished by mailing, by first class mail, a copy of the Consent Order to Respondent at the address set forth in this agreement or to Respondent's attorney by certified mail, or upon transmission via facsimile to Respondent or Respondent's attorney, whichever is earliest.

SO ORDERED.

DATED: 5/17/00

WILLIAM P. DILLON, M.D.

William F. Dillon, M.D.

te Board for Professional

Medical Conduct

#### EXHIBIT "A"

## NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

#### IN THE MATTER

**OF** 

HANS JOACHIM NIEPORENT, M.D.

STATEMENT OF CHARGES

HANS JOACHIM NIEPORENT, M.D., the Respondent, was authorized to practice medicine in New York State on or about February 22, 1958, by the issuance of license number 080501, by the New York State Education Department.

#### **FACTUAL ALLEGATIONS**

- A. Respondent treated Patient A, a male, d.o.b. 5/5/61, at his offices, located at 130 8th Avenue, Brooklyn, New York and 2623 E. 16 Street, Brooklyn, New York, for mood disorder (NOS) and opioid (methadone) dependence, from approximately June 1, 1995 to April 6, 1999.
  - Respondent failed to take or note an adequate history from Patient A.
  - 2. Respondent failed to conduct an initial and/or ongoing mental status examination(s) upon Patient A, or to note same in the chart.
  - 3. Respondent failed to maintain a record for Patient A which accurately reflects the care and treatment provided to Patient A.
- B. Respondent treated Patient B, a male, d.o.b. 12/24/51, at his offices located at 130 8th Avenue, Brooklyn, New York and 2623 E. 16 Street, Brooklyn, New York, for anxiety disorder due to H.I.V., and opioid (methadone) dependence, from approximately February 5, 1993 to April 2, 1999.
  - Respondent failed to take or note an adequate history from Patient B.

- 2. Respondent failed to conduct an initial and/or ongoing mental status examination(s) upon Patient B, or to note same in the chart.
- Respondent failed to maintain a record for Patient B which accurately reflects the care and treatment provided to Patient B.
- C. Respondent treated Patient C, a male, d.o.b. 12/24/51, at his offices, located at 130 8th Avenue, Brooklyn, New York and 2623 E. 16 Street, Brooklyn, New York, for adjustment disorder with mixed anxiety and depressed mood, and opioid (methadone) dependence, from approximately February 3, 1993 to March 8, 1999.
  - Respondent failed to take or note an adequate history from Patient C.
  - Respondent failed to conduct an initial and/or ongoing mental status examination(s) upon Patient C, or to note same in the chart.
  - 3. Respondent failed to maintain a record for Patient C which accurately reflects the care and treatment provided to Patient C.
- D. Respondent treated Patient D, a male, d.o.b. 8/21/48, at his offices, located at 130 8th Avenue, Brooklyn, New York and 2623 E. 16 Street, Brooklyn, New York, for generalized anxiety disorder, from approximately August 31, 1993 to March 24, 1999.
  - 1. Respondent failed to take or note an adequate history from Patient D.
  - 2. Respondent failed to conduct an initial and/or ongoing mental status examination(s) upon Patient D, or to note same in the chart.
  - 3. Respondent failed to maintain a record for Patient D which

accurately reflects the care and treatment provided to Patient D.

- E. Respondent treated Patient E, a male, d.o.b. 6/19/56, at his offices, located at 130 8th Avenue, Brooklyn, New York and 2623 E. 16 Street, Brooklyn, New York, for major depressive disorder, from approximately November 8, 1993 to April 6, 1999.
  - 1. Respondent failed to take or note an adequate history from Patient E.
  - Respondent failed to conduct an initial and/or ongoing mental status examination(s) upon Patient E, or to note same in the chart.
  - 3. Respondent failed to maintain a record for Patient E which accurately reflects the care and treatment provided to Patient E.

# SPECIFICATION OF CHARGES FIRST SPECIFICATION NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(3)(McKinney Supp. 2000) by practicing the profession of medicine with negligence on more than one occasion as alleged in the facts of two or more of the following:

Paragraphs A and A1, 2, and/or 3, B and B1, 2, and/or 3, C and
 C1, 2, and/or 3, D and D1, 2, and/or 3, and E and E1, 2, and/or 3.

## SECOND THROUGH SIXTH SPECIFICATIONS FAILURE TO MAINTAIN RECORDS

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(32)(McKinney Supp. 2000) by failing to maintain a record for

each patient which accurately reflects the care and treatment of the patient, as alleged in the facts of:

- 2. Paragraphs A and A1, 2, and/or 3.
- 3. Paragraphs B and B1, 2, and/or 3.
- 4. Paragraphs C and C1, 2, and/or 3.
- 5. Paragraphs D and D1, 2, and/or 3.
- 6. Paragraphs E and E1, 2, and/or 3.

DATED:

March , 2000 New York, New York

> ROY NEMERSON Deputy Counsel Bureau of Professional Medical Conduct

#### **EXHIBIT "B"**

#### Terms of Probation

- 1. Respondent shall conduct himself/herself in all ways in a manner befitting his/her professional status, and shall conform fully to the moral and professional standards of conduct and obligations imposed by law and by his/her profession.
- 2. Respondent shall submit written notification to the New York State Department of Health addressed to the Director of the Office of Professional Medical Conduct, New York State Department of Health, 433 River Street, Suite 303, Troy, NY 12180-2299; said notice is to include a full description of any employment and practice, professional and residential addresses and telephone numbers within or without New York State, and any and all investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action.
- Any civil penalty not paid by the date prescribed herein shall be subject to all provisions of law relating to debt collection by New York State. This includes but is not limited to the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law section 171(27)]; State Finance Law section 18; CPLR section 5001; Executive Law section 32].
- 4. The period of probation shall be tolled during periods in which Respondent is not engaged in the active practice of medicine in New York State. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in or intends to leave the active practice of medicine in New York State for a period of thirty (30) consecutive days or more. Respondent shall then notify the Director again prior to any change in that status. The period of probation shall resume and any terms of probation which were not fulfilled shall be fulfilled upon Respondent's return to practice in New York State.
- 5. Respondent's professional performance may be reviewed by the Director of OPMC. This review may include, but shall not be limited to, a review of office records, patient records and/or hospital charts, interviews with or periodic visits with Respondent and his/her staff at practice locations or OPMC offices.
- 6. Respondent shall maintain legible and complete medical records which accurately reflect the evaluation and treatment of patients. The medical records shall contain all information required by State rules and regulations regarding controlled substances.
- 7. Respondent shall comply with all terms, conditions, restrictions, limitations and penalties to which he or she is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be

authorized pursuant to the law.

#### PRACTICE MONITOR

- 8. Within thirty days of the effective date of the order, and continuing for a period of two years, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC.
  - a. Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no less than 15) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
  - b. Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
  - c. Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
  - d. Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.
- 9. Respondent shall comply with all terms, conditions, restrictions, limitations and penalties to which he or she is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be authorized pursuant to the law.