Corning Tower

The Governor Nelson A. Rockefeller Empire State Plaza

Albany, New York 12237

Barbara A. DeBuono, M.D., M.P.H. *Commissioner*

July 29, 1996 Executive Deputy Commissioner

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Karen Carlson, Esq.
NYS Department of Health
Corning Tower-Room 2438
Empire State Plaza
Albany, New York 12237

M. Bennett Marcus, M.D. 1300 Vista Morada Santa Fe, New Mexico 87501

Effective Date: 08/05/96

RE: In the Matter of M. Bennett Marcus, M.D.

Dear Ms. Carlson and Dr. Marcus:

Enclosed please find the Determination and Order (No.96-177) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

Five days after receipt of this Order, you will be required to deliver to the Board of Professional Medical Conduct your license to practice medicine if said license has been revoked, annulled, suspended or surrendered, together with the registration certificate. Delivery shall be by either **certified mail or in person** to:

Office of Professional Medical Conduct New York State Department of Health Corning Tower - Fourth Floor (Room 438) Empire State Plaza Albany, New York 12237 If your license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, you shall submit an affidavit to that effect. If subsequently you locate the requested items, they must then be delivered to the Office of Professional Medical Conduct in the manner noted above.

As prescribed by the New York State Public Health Law §230, subdivision 10, paragraph (i), and §230-c subdivisions 1 through 5, (McKinney Supp. 1992), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

Request for review of the Committee's determination by the Administrative Review Board stays all action until final determination by that Board. Summary orders are not stayed by Administrative Review Board reviews.

All notices of review must be served, by **certified mail**, upon the Administrative Review Board **and** the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Administrative Law Judge New York State Department of Health Bureau of Adjudication Empire State Plaza Corning Tower, Room 2503 Albany, New York 12237-0030

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely,

Tyrone T. Butler, Director
Bureau of Adjudication

TTB:rlw Enclosure STATE OF NEW YORK : DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT



IN THE MATTER

OF

M. BENNETT MARCUS, M.D.

DETERMINATION
AND
ORDER
BPMC-96-177

A Notice of Hearing and Statement of Charges, both dated January 24, 1996, were served upon the Respondent, M. BENNETT MARCUS, M.D., THERESE G. LYNCH, M.D., (Chair), JOHN T. PRIOR, M.D. and ANTHONY C. BIONDI, duly designated members of the State Board for Professional Medical Conduct, served as the Hearing Committee in this matter pursuant to Section 230(10)(e) of the Public Health Law. CHRISTINE C. TRASKOS, ESQ., Administrative Law Judge, served as the Administrative Officer. A hearing was held on June 26, 1996. The Department of Health appeared by HENRY M. GREENBERG, GENERAL COUNSEL, by KAREN E. CARLSON, ESQ., Assistant Counsel. The Respondent did not appear and was not represented by counsel. Evidence was received and witnesses sworn and heard and transcripts of these proceedings were made.

After consideration of the entire record, the Hearing Committee issues this Determination and Order.

STATEMENT OF CASE

This case was brought pursuant to Public Health Law Section 230(10)(p). The statute provides for an expedited hearing where a licensee is charged solely with a violation of Education Law Section 6530(9). In such cases, a licensee is charged with misconduct based upon a prior criminal conviction in New York or another jurisdiction, or upon a prior administrative adjudication regarding conduct which would amount to professional misconduct, if committed in New York. The scope of an expedited hearing is limited to a determination of the nature and severity of the penalty to be imposed upon the licensee.

In the instant case, Respondent is charged with professional misconduct pursuant to Education Law Section 6530 (9)(b). A copy of the Notice of Referral Proceeding and Statement of Charges is attached to this Determination and Order in Appendix I.

FINDINGS OF FACT

The following Findings of Fact were made after a review of the entire record in this matter. Numbers in parentheses refer to transcript page numbers or exhibits. These citations represent evidence found persuasive by the Hearing Committee in arriving at a particular finding. Conflicting evidence, if any, was considered and rejected in favor of the cited evidence.

Respondent was authorized to practice medicine in New York State on April 23, 1957, by the issuance of license number 079192 by the New York State Education Department.

(Pet. Ex. #3)

- The State of California, Department of Consumer Affairs, Medical Board of California, [hereinafter the "Board"], by Default Decision dated on or about March 16, 1995, found Respondent guilty of gross negligence, repeated negligence and incompetence in the practice of medicine in violation of California Business and Professions Code Sections 2234(b), 2234(c) and 2234(d)
- More specifically, the Board found Respondent guilty of professional misconduct in his care and treatment of a single patient in, among other things, performing an unnecessary cesarean section and cutting the umbilical cord, causing the infant to loose blood and require a transfusion.
- The Board revoked Respondent's license to practice medicine in the State of California, effective April 15, 1995.

CONCLUSIONS OF LAW

The following conclusions were made pursuant to the Findings of Fact listed above. All conclusions resulted from a unanimous vote of the Hearing Committee unless noted otherwise.

The Hearing Committee unanimously concluded that the Department has sustained its burden of proof. The preponderance of the evidence demonstrates that Respondent was disciplined by the Medical Board of California for performing an unnecessary cesarean section during which the umbilical cord was cut and the infant lost blood and required a transfusion. As a result, the California Board revoked Respondent's license. Section 6530(9)(b) of the Education Law defines professional misconduct as "having been found guilty of improper professional practice or rofessional misconduct by a duly

authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York State, constitute professional misconduct under the laws of New York State." As a result, the Hearing Committee voted to sustain the Specification of professional misconduct contained within the Statement of Charges.

DETERMINATION AS TO PENALTY

The Hearing Committee, pursuant to the Findings of Fact and Conclusions of Law set forth above, unanimously determined that Respondent's license to practice medicine in New York State should be revoked. This determination was reached upon due consideration of the full spectrum for penalties available pursuant to statute, including revocation, suspension and/or probation, censure and reprimand, and the imposition of monetary penalties.

Respondent did not appear at the hearing. It is noted that Respondent did not appear at the California Medical Board disciplinary proceeding as well. (Ex. 4, p.3)

The Hearing Committee finds Respondent grossly negligent for the performance of the unnecessary cesarean section during which the umbilical cord was cut and the infant suffered loss of blood and required a transfusion. The Hearing Committee further finds that Respondent's actions demonstrate a lack of comprehension of basic obstetrical practice. The Hearing Committee believes that Respondent cannot be allowed to practice medicine in the State of New York. Therefore, revocation is the appropriate sanction in this instance.

ORDER

Based upon the foregoing, IT IS HEREBY ORDERED THAT:

- 1 The Specification of professional misconduct contained within the Statement of Charges (Petitioner's Exhibit #1) is **SUSTAINED**;
- 2. Respondent's license to practice medicine in New York State be and hereby is **REVOKED.**

Dated: Albany, New York

July 22., 1996

THERESE G. LYNCH, M.D. (Chair)

JOHN T. PRYOR, M.D. ANTHONY C. BIONDI

TO: Karen E. Carlson, Esq.
Assistant Counsel
NYS Department of Health
Corning Tower-Room 2438
Empire State Plaza
Albany, New York 12237

M. Bennett Marcus, M.D. 1300 Vista Morada Santa Fe, New Mexico 87501 STATE OF NEW YORK : DEPARTMENT OF HEALTH

STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT.

_____X

IN THE MATTER : NOTICE OF

OF : REFERRAL

M. BENNETT MARCUS, M.D. : PROCEEDING

----X

TO: M. Bennett Marcus, M.D.

1300 Vista Morada

Santa For New Movice 8756

Santa Fe, New Mexico 87501

PLEASE TAKE NOTICE THAT:

An adjudicatory proceeding will be held pursuant to the provisions of N.Y. Pub. Health Law Section 230(10)(p) (McKinney Supp. 1996) and N.Y. State Admin. Proc. Act Sections 301-307 and 401 (McKinney 1984 and Supp. 1996). The proceeding will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct (Committee) on the 15th day of May, 1996 at 10:00 a.m. in the forenoon of that day at the Cultural Education Building, Empire State Plaza, Concourse Level, Meeting Room E, Albany, New York, 12230.

At the proceeding, evidence will be received concerning the allegations set forth in the Statement of Charges, which is attached. A stenographic record of the proceeding will be made and the witnesses at the proceeding will be sworn and examined.

You may appear in person at the proceeding and may be represented by counsel. You may produce evidence or sworn testimony on your behalf. Such evidence or sworn testimony shall be strictly limited to evidence and testimony relating to the

nature and severity of the penalty to be imposed upon the licensee. Where the charges are based on the conviction of state law crimes in other jurisdictions, evidence may be offered which would show that the conviction would not be a crime in New York State. The Committee also may limit the number of witnesses whose testimony will be received, as well as the length of time any witness will be permitted to testify.

If you intend to present sworn testimony, the number of witnesses and an estimate of the time necessary for their direct examination must be submitted to the New York State Department of Health, Division of Legal Affairs, Bureau of Adjudication, Corning Tower Building, 25th Floor, Empire State Plaza, Albany, New York 12237, ATTENTION: HON. TYRONE BUTLER, DIRECTOR, BUREAU OF ADJUDICATION, (henceforth "Bureau of Adjudication") as well as the Department of Health attorney indicated below, on or before May 1, 1996.

You may file a written answer, brief, and affidavits with the Committee. Six copies of all papers you wish to submit must be filed with the Bureau of Adjudication at the address indicated above on or before May 1, 1996 and a copy of all papers must be served on the same date on the Department of Health attorney indicated below. Pursuant to Section 301(5) of the State Administrative Procedure Act, the Department, upon reasonable notice, will provide at no charge a qualified interpreter of the deaf to interpret the proceedings to, and the testimony of, any deaf person.

The proceeding may be held whether or not you appear. Please note that requests for adjournments must be made in

writing to the Bureau of Adjudication, at the address indicated above, with a copy of the request to the attorney for the Department of Health, whose name appears below, at least five days prior to the scheduled date of the proceeding. Adjournment requests are not routinely granted. Claims of court engagement will require detailed affidavits of actual engagement. Claims of illness will require medical documentation. Failure to obtain an attorney within a reasonable period of time prior to the proceeding will not be grounds for an adjournment.

The Committee will make a written report of its findings, conclusions as to guilt, and a determination. Such determination may be reviewed by the administrative review board for professional medical conduct.

SINCE THESE PROCEEDINGS MAY RESULT IN A

DETERMINATION THAT SUSPENDS OR REVOKES YOUR

LICENSE TO PRACTICE MEDICINE IN NEW YORK

STATE AND/OR IMPOSES A FINE FOR EACH OFFENSE

CHARGED, YOU ARE URGED TO OBTAIN AN ATTORNEY

TO REPRESENT YOU IN THIS MATTER.

DATED: Albany, New York

March 13, 1996

PETER D. VAN BUREN Deputy Counsel

Bureau of Professional Medical Conduct

DD-Van Buren

Inquiries should be addressed to:

Karen Eileen Carlson
Assistant Counsel
NYS Department of Health
Division of Legal Affairs
Corning Tower Building
Room 2429
Empire State Plaza
Albany, New York 12237
(518) 473-4282

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER : STATEMENT

OF : OF

M. BENNETT MARCUS, M.D. : CHARGES

_____X

M. Bennett Marcus, M.D., the Respondent, was authorized to practice medicine in New York State on April 23, 1957 by the issuance of license number 079192 by the New York State Education Department. The Respondent is not currently registered with the New York State Education Department.

FACTUAL ALLEGATIONS

- 1. The State of California, Department of Consumer Affairs,
 Medical Board of California, [hereinafter the "Board"], by
 Default Decision dated on or about March 16, 1995, found
 Respondent guilty of gross negligence, repeated negligence
 and incompetence in the practice of medicine in violation of
 California Business and Professions Code sections 2234(b),
 2234(c) and 2234(d).
- 2. More specifically, the Board found Respondent guilty of professional misconduct in his care and treatment of a single patient in, among other things, performing an unnecessary cesarean section and cutting the umbilical cord,

causing the infant to loose blood and require a transfusion.

- 3. The Board revoked Respondent's license to practice medicine in the state of California, effective April 15, 1995.
- 4. The conduct underlying the Board's finding of unprofessional conduct would, if committed in New York State, constitute professional misconduct under N.Y. Educ. Law §6530(4) (McKinney Supp. 1996) [practicing the profession with gross negligence on a particular occasion].

SPECIFICATION

DISCIPLINE IN ANOTHER STATE

Respondent is charged with professional misconduct within the meaning of N.Y. Educ. Law §6530(b) (McKinney Supp. 1996) by reason of his having been found guilty of improper professional practice or professional misconduct by a duly authorized disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York State, constitute professional misconduct under the laws of new York State in that Petitioner charges:

1. The facts in Paragraphs 1, 2, 3, and/or 4.

DATED: January 24, 1996 Albany, New York

PETER D. VAN BUREN
Deputy Counsel
Bureau of Professional
Medical Conduct