

Department of Health

ANDREW M. CUOMO Governor

HOWARD A. ZUCKER, M.D., J.D., Commissioner

SALLY DRESLIN, M.S., R.N. Executive Deputy Commissioner

December 5, 2017

CORRECTED LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Romie Roland FCI Talladega

565 East Renfroe Road Talladega, Alabama 35160 Pooja Rawal, Esq.
Senior Attorney
New York State Department of Health
Bureau of Professional Medical Conduct
Corning Tower Building- Room 2512
Empire State Plaza
Albany, New York 12237

RE: In the Matter of Romie Roland, M.D.

Dear Parties:

Please disregard the cover letter dated December 4, 2017. The Order number was listed incorrectly. The correct Order number should be (No. 17-335).

Enclosed please find the Determination and Order (No. 17-335) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

Five days after receipt of this Order, you will be required to deliver to the Board of Professional Medical Conduct your license to practice medicine together with the registration certificate. Delivery shall be by either certified mail or in person to:

Office of Professional Medical Conduct New York State Department of Health Office of Professional Medical Conduct Riverview Center 150 Broadway - Suite 355 Albany, New York 12204

If your license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, you shall submit an affidavit to that effect. If subsequently you locate the requested items, they must then be delivered to the Office of Professional Medical Conduct in the manner noted above.

As prescribed by the New York State Public Health Law §230, subdivision 10, paragraph (i), (McKinney Supp. 2015) and §230-c subdivisions 1 through 5, (McKinney Supp. 2015), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

Request for review of the Committee's determination by the Administrative Review Board stays penalties other than suspension or revocation until final determination by that Board. Summary orders are not stayed by Administrative Review Board reviews.

All notices of review must be served, by certified mail, upon the Administrative Review Board and the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Chief Administrative Law Judge New York State Department of Health Bureau of Adjudication Riverview Center 150 Broadway - Suite 510 Albany, New York 12204

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely,

James F. Horan

Chief Administrative Law Judge Bureau of Adjudication

JFH: ISM Enclosure



Department of Health

ANDREW M. CUOMO Governor

HOWARD A. ZUCKER, M.D., J.D. Commissioner

SALLY DRESLIN, M.S., R.N. Executive Deputy Commissioner

December 4, 2017

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Romie Roland FCI Talladega

565 East Renfroe Road Talladega, Alabama 35160 Pooja Rawal, Esq.
Senior Attorney
New York State Department of Health
Bureau of Professional Medical Conduct
Corning Tower Building- Room 2512
Empire State Plaza
Albany, New York 12237

RE: In the Matter of Romie Roland, M.D.

Dear Parties:

Enclosed please find the Determination and Order (No. 17-355) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

Five days after receipt of this Order, you will be required to deliver to the Board of Professional Medical Conduct your license to practice medicine together with the registration certificate. Delivery shall be by either certified mail or in person to:

Office of Professional Medical Conduct New York State Department of Health Office of Professional Medical Conduct Riverview Center 150 Broadway - Suite 355 Albany, New York 12204

If your license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, you shall submit an affidavit to that effect. If subsequently you locate the requested items, they must then be delivered to the Office of Professional Medical Conduct in the manner noted above.

As prescribed by the New York State Public Health Law §230, subdivision 10, paragraph

(i), (McKinney Supp. 2015) and §230-c subdivisions 1 through 5, (McKinney Supp. 2015), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

Request for review of the Committee's determination by the Administrative Review Board stays penalties <u>other than suspension or revocation</u> until final determination by that Board. Summary orders are not stayed by Administrative Review Board reviews.

All notices of review must be served, by certified mail, upon the Administrative Review Board and the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Chief Administrative Law Judge New York State Department of Health Bureau of Adjudication Riverview Center 150 Broadway – Suite 510 Albany, New York 12204

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely,

James F. Horan

Chief Administrative Law Judge

Bureau of Adjudication

JFH: ISM Enclosure

STATE OF NEW YORK: DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT	
IN THE MATTER	: DETERMINATION
OF «	: AND

ROMIE ROLAND, M.D.

17-335

ORDER

A hearing was held on November 16, 2017, at the offices of the New York State Department of Health (Department). Pursuant to § 230(10)(e) of the Public Health Law (PHL), JAMES M. LEONARDO, M.D., Ph.d., Chairperson, MARY E. RAPPAZZO, M.D. and JANET R. AXELROD, ESQ., duly designated members of the State Board for Professional Medical Conduct, served as the Hearing Committee in this matter. DAWN Mackillop-Soller, ADMINISTRATIVE LAW JUDGE (ALJ), served as the Administrative Officer.

The Department appeared by Pooja Rawal, Senior Attorney. A Notice of Referral Proceeding and Statement of Charges dated August 23, 2017, were served upon Romie Roland, M.D. (Respondent), who did not appear at the hearing.² The Hearing Committee received and examined documents from the Department (Exhibits 1-4) and a stenographic reporter prepared a transcript of the proceeding. After consideration of the entire record, the Hearing Committee sustains the charge that the Respondent committed professional misconduct, in violation of Education Law (Educ. Law) § 6530(9)(a)(ii), by having been convicted of committing an act constituting a crime under federal law. The Hearing Committee unanimously votes 3-0 to revoke the Respondent's medical license in the state of New York.

¹ The location of the hearing was 150 Broadway, Suite 510, Menands, New York.

² Copies of the Notice of Referral Proceeding and Statement of Charges are attached to this Determination and Order as Appendix I and were personally served on the Respondent at FCI Talladega in Talladega, Alabama, on September 20, 2017, establishing jurisdiction. [Exhibit 1].

BACKGROUND

This case began with an Order by the Commissioner of Health, Howard A. Zucker, M.D., J.D., summarily suspending the Respondent's medical license pursuant to PHL § 230(12)(b). The Department brought the case pursuant to PHL § 230(10)(p), which provides for a hearing when a registered provider or licensee is charged solely with a violation of Educ. Law § 6530(9). In such cases, the charge of misconduct is based upon a prior criminal conviction in New York or another jurisdiction, or upon a prior administrative adjudication regarding conduct that would amount to professional misconduct, if committed in New York. The Respondent is charged with one specification of professional misconduct pursuant to Educ. Law § 6530(9)(a)(ii) by having been convicted of committing acts constituting crimes under federal law, specifically count one, Conspiracy, under 21 U.S.C. § 846, and counts 9, 12, 13, 15, 16, 18 and 20, Distribution of a Controlled Substance, under 21 U.S.C. §§ 841(a)(1), (b)(1)(C) and (b)(2).

FINDINGS OF FACT

These Findings of Fact were made by the Hearing Committee after a review of the record in this matter. Under PHL § 230(10), the Department had the burden of proving its case by a preponderance of the evidence. The references in brackets refer to exhibits [Ex.]. The following findings and conclusions are the unanimous determinations of the Hearing Committee:

- 1. On October 2, 1989, the Respondent was authorized to practice medicine in New York by the Education Department and was issued license number 180218. [Ex. 2].
- 2. On or about February 22, 2017, in the United States District Court, Northern District of Georgia, Atlanta Division, the Respondent was found guilty of count one, Conspiracy, under 21 U.S.C. § 846, and counts 9, 12, 13, 15, 16, 18 and 20, Distribution of Controlled Substances, under 21 U.S.C. §§ 841(a)(1), (b)(1)(C) and (b)(2). The felony convictions are based on the Respondent's

prescriptions for controlled substances to patients in 2013 and 2014 that were in excessive quantities and issued to an extremely large number of individuals residing in Georgia and other states. On February 22, 2017, the Respondent was sentenced to 130 months imprisonment, three years of supervised release and a special assessment in the amount of \$800.00. [Ex. 3].

VOTE OF THE HEARING COMMITTEE

The Respondent violated New York Educ. Law § 6530(9)(a)(ii) by having been convicted of committing an act constituting a crime under federal law.

VOTE: Sustained (3-0)

CONCLUSIONS OF LAW

In the United States District Court, Northern District of Georgia, a federal court, the Respondent was adjudicated guilty to the felony crimes of Conspiracy, count one, pursuant to 21 U.S.C. § 846, and Distribution of a Controlled Substance, counts 9, 12, 13, 15, 16, 18 and 20, under U.S.C. §§ 841(a)(1), (b)(1)(C) and (b)(2). The conduct that is the subject of the Statement of Charges in this action constitutes crimes under federal law. Therefore, the specification of misconduct contained in the Statement of Charges of this proceeding is sustained.

The Hearing Committee considered the full spectrum of penalties available by statute, including revocation, suspension and/or probation, censure and reprimand, and the imposition of monetary penalties and determined that the Respondent's participation in a profitable scheme to prescribe large dosages of Oxycodone, Morphine and other drugs to "an inordinate amount of people" to fill at pharmacies "around the nation," warranted revocation of his medical license. [Ex. 3]. In issuing such prescriptions – without any legitimate medical purpose and in cases where it was obvious patients were abusing or diverting the drugs – the Hearing Committee found the Respondent breached

the public's trust by using his medical license to place a substantial number of people at risk for severe harm.

ORDER

IT IS HEREBY ORDERED THAT:

- 1. The specification of professional misconduct, as set forth in the Statement of Charges, is **SUSTAINED**;
 - 2. The Respondent's license to practice as a physician in New York is REVOKED;
- 3. This Determination and Order shall be effective upon service on the Respondent. Service shall be either by certified mail or upon the Respondent at his last known address and such service shall be effective upon receipt or seven days after mailing by certified mail, whichever is earlier, or by personal service and such service shall be effective upon receipt.

DATED: Albany, New York

James M. Leonardo, M.D., Ph.D. Chairperson

Mary E. Rappazzo, M.D., and Janet R. Axelrod, Esq.

To: Romie Roland, M.D. FCI Talladega

565 East Renfroe Road Talladega, Alabama 35160

Pooja Rawal, Esq.
Senior Attorney
New York State Department of Health
Bureau of Professional Medical Conduct
Corning Tower Building—Room 2512
Empire State Plaza
Albany, New York 12237

APPENDIX I

DEPARTMENT OF HEALTH NEW YORK STATE STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER OF ROMIE ROLAND, M.D.

COMMISSIONER'S ORDER OF **SUMMARY** ACTION

TO: ROMIE ROLAND, M.D. FCI Talladega Talladega, AL 35160

The undersigned, Howard A. Zucker, M.D., J.D., Commissioner of Health, pursuant to N.Y. Public Health Law §230, upon the recommendation of a Committee on Professional Medical Conduct of the State Board for Professional Medical Conduct, has determined that Romie Roland, M.D. (henceforth "Respondent"), has pleaded or been found guilty or convicted of committing an act constituting a felony under New York State law, federal law, or the law of another jurisdiction which, if committed within this state, would have constituted a felony under New York State law, as is more fully set forth in the Statement of Charges attached to the Notice of Referral Proceeding or Notice of Hearing and made a part hereof.

It is therefore:

ORDERED, pursuant to N.Y. Public Health Law §230(12)(b), that effective immediately, Respondent shall not practice medicine in the State of New York, or practice in any setting under the authority of Respondent's New York license.

> Any practice of medicine in violation of this Order shall constitute Professional Misconduct within the meaning of N.Y. Educ. Law §6530(29) and may constitute unauthorized medical practice, a Felony defined by N.Y. Educ. Law §6512.

This Order shall remain in effect until the final conclusion of a hearing which shall commence within ninety days of the service of this order and shall end within ninety days thereafter. The hearing will be held pursuant to the provisions of N.Y. Pub. Health Law

§230, and N.Y. State Admin. Proc. Act §§301-307 and 401. The hearing will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct on a date and at a location to be set forth in a written Notice of Hearing or Notice of Referral Proceeding provided to the Respondent contemporaneously with this Order.

THESE PROCEEDINGS MAY RESULT IN A

DETERMINATION THAT YOUR LICENSE TO PRACTICE

MEDICINE IN NEW YORK STATE BE REVOKED OR

SUSPENDED, AND/OR THAT YOU BE FINED OR SUBJECT

TO OTHER SANCTIONS SET FORTH IN NEW YORK PUBLIC

HEALTH LAW §230-a. YOU ARE URGED TO OBTAIN AN

ATTORNEY TO REPRESENT YOU IN THIS MATTER.

DATED: Albany, New York August , 2017

> Howard A. Zuckek, M.D., J.D. Commissioner of Health New York State Department of Health

Inquiries should be directed to:

Pooja Rawal Senior Attorney New York State Health Department Bureau of Professional Medical Conduct Corning Tower, Room 2512 Albany, NY, 12237 Phone: 518-473-4282 Fax:518-473-2430

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER OF ROMIE ROLAND, M.D.

NOTICE OF
REFERRAL
PROCEEDING

TO: ROM

ROMIE ROLAND, M.D.

FCI Talladega

Talladega, AL 35160

PLEASE TAKE NOTICE THAT:

An adjudicatory proceeding will be held pursuant to the provisions of N.Y. Pub. Health Law §230(10)(p) and N.Y. State Admin. Proc. Act §§301-307 and 401. The proceeding will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct (Committee) on November 16, 2017, at 10:30 a.m., at the offices of the New York State Department of Health, Riverview Center, 150 Broadway, Suite 510, Menands (Albany), NY 12204-2719.

At the proceeding, evidence will be received concerning the allegations set forth in the Statement of Charges, which is attached. A stenographic record of the proceeding will be made and the witnesses at the proceeding will be sworn and examined.

You may appear in person at the proceeding and may be represented by counsel who shall be an attorney admitted to practice in New York state. You may produce evidence or sworn testimony on your behalf. Such evidence or sworn testimony shall be strictly limited to evidence and testimony relating to the nature and severity of the penalty to be imposed upon the licensee. Where the charges are based on the conviction of state law crimes in other jurisdictions, evidence may be offered which would show that the conviction would not be a crime in New York State. The Committee also may limit the number of witnesses whose testimony will be received, as well as the length of time any witness will be permitted to testify.

If you intend to present sworn testimony, the number of witnesses and an estimate of the time necessary for their direct examination must be submitted to the New York State Department of Health, Division of Legal Affairs, Bureau of Adjudication, Riverview Center, 150

For GPS purposes, enter "Menands", not "Albany".

Broadway - Suite 510, Albany, NY 12204-2719, ATTENTION: HON. JAMES HORAN, DIRECTOR, BUREAU OF ADJUDICATION (Telephone: (518-402-0748), (henceforth "Bureau of Adjudication") as well as the Department of Health attorney indicated below, no later than twenty days prior to the scheduled date of the Referral Proceeding, as indicated above.

Pursuant to the provisions of N.Y. Pub. Health Law §230(10)(p), you shall file a written answer to each of the charges and allegations in the Statement of Charges at least ten days prior to the date of the hearing. Any charge or allegation not so answered shall be deemed admitted. You may wish to seek the advice of counsel prior to filing such answer. You may also file a written brief and affidavits with the Committee. All such documents shall be filed with the Bureau of Adjudication, at the address indicated above, and a copy shall be forwarded to the attorney for the Department of Health whose name appears below, at least ten days prior to the date of the hearing. Should the parties have objection(s) to proposed witnesses or documentary evidence, the party raising the objection(s) shall contact the Bureau of Adjudication at least three days prior to the hearing date to arrange for a pre-hearing conference with the Administrative Law Judge, prior to the hearing date.

Not later than ten days prior to the date of the hearing, you are required to file one copy of your proposed exhibits (if any) with the Bureau of Adjudication at the address indicated above, and a copy of all such documents/exhibits must be served on the same date on the Department of Health attorney indicated below. On the day of the hearing, you are also required to provide the original of such exhibits and three copies, for use by the Committee.

Pursuant to §301(5) of the State Administrative Procedure Act, the Department, upon reasonable notice, will provide at no charge a qualified interpreter of the deaf to interpret the proceedings to, and the testimony of, any deaf person. Pursuant to the terms of N.Y. State Admin. Proc. Act §401 and 10 N.Y.C.R.R. §51.8(b), the Petitioner hereby demands disclosure of the evidence that the Respondent intends to introduce at the hearing, including the names of witnesses, a list of and copies of documentary evidence and a description of physical or other evidence which cannot be photocopied.

YOU ARE HEREBY ADVISED THAT THE ATTACHED CHARGES WILL BE MADE PUBLIC FIVE BUSINESS DAYS AFTER THEY ARE SERVED.

Department attorney: Initial here_

The proceeding may be held whether or not you appear. Please note that requests for adjournments must be made in writing to the Bureau of Adjudication, at the address indicated above, with a copy of the request to the attorney for the Department of Health, whose name appears below, at least five days prior to the scheduled date of the proceeding. Adjournment requests are not routinely granted. Claims of court engagement will require detailed affidavits of actual engagement. Claims of illness will require medical documentation. Failure to obtain an attorney within a reasonable period of time prior to the proceeding will not be grounds for an adjournment.

The Committee will make a written report of its findings, conclusions as to guilt, and a determination. Such determination may be reviewed by the administrative review board for professional medical conduct.

THESE PROCEEDINGS MAY RESULT IN A

DETERMINATION THAT YOUR LICENSE TO PRACTICE

MEDICINE IN NEW YORK STATE BE REVOKED OR

SUSPENDED, AND/OR THAT YOU BE FINED OR

SUBJECT TO OTHER SANCTIONS SET OUT IN NEW

YORK PUBLIC HEALTH LAW §§230-a. YOU ARE URGED

TO OBTAIN AN ATTORNEY TO REPRESENT YOU IN

THIS MATTER.

DATED:

Albany, New York August 23, 2017

> MIČHAEL A. HISEŘ Deputy Counsel Bureau of Professional Medical Conduct

Inquiries should be addressed to:

Pooja Rawal Senior Attorney New York State Health Department Bureau of Professional Medical Conduct Corning Tower, Room 2512 Albany, NY, 12237 Phone: 518-473-4282 Fax:518-473-2430 NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

ROMIE ROLAND, M.D.

OF CHARGES

ROMIE ROLAND, M.D., the Respondent, was authorized to practice medicine in New York State on or about October 2, 1989, by the issuance of license number 180218 by the New York State Education Department.

FACTUAL ALLEGATIONS

A. On or about February 22, 2017, the Respondent was found guilty and sentenced to one count of Conspiracy to Distribute Controlled Substances [21 U.S.C. §§ 841(a)(1), (b)(1)(C) and (b)(2)] and seven counts of Distribution of a Controlled Substance [21 U.S.C. §§ 841(a)(1), (b)(1)C), and (b)(2)], all felonies in the United States District Court, Northern District of Georgia, Atlanta Division. The Respondent was sentenced to prison for 130 months to each count to run concurrently, supervised release for 36 months as to each count to run concurrently and to pay an \$800.00 assessment.

SPECIFICATION OF CHARGES CRIMINAL CONVICTION (Federal)

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(9)(a)(ii) by having been convicted of committing an act constituting a crime under federal law as alleged in the facts of the following:

1. The facts as alleged in paragraph A.

DATE: August 3, 2017 Albany, New York

MICHAEL A. HISER

Deputy Counsel

Bureau of Professional Medical Conduct