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Dennis P. Whalen

Executive Deputy Commissioner of Health

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Office of Professional Medical Conduct

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Bureau of Professional Medical Conduct

William P. Dillon, M.D.
Chair
Denise M. Bolan, R.P.A.
Vice Chair
Ansel R. Marks, M.D., J.D.
Executive Secretary

December 28, 1998

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

David Koren, M.D. 40 Sheffield Road Gansevoort, New York 12831

RE: License No. 172529

Dear Dr. Koren:

Enclosed please find Order #BPMC 98-315 of the New York State Board for Professional Medical Conduct. This Order and any penalty provided therein goes into effect **December 28, 1998.**

If the penalty imposed by the Order is a surrender, revocation or suspension of this license, you are required to deliver to the Board the license and registration within five (5) days of receipt of the Order to Board for Professional Medical Conduct, New York State Department of Health, Hedley Park Place, Suite 303, 433 River Street, Troy, New York 12180.

Sincerely,

Ansel R. Marks, M.D., J.D.

AND AND THE SECOND

Executive Secretary

Board for Professional Medical Conduct

Enclosure

cc: Terence P. O'Connor, Esq.

O'Connor, O'Connor, Mayberger & First, PC

20 Corporate Woods Boulevard Albany, New York 12211-3616

Kevin Donovan, Esq.

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER OF DAVID KOREN, M.D.

CONSENT
AGREEMENT
AND
ORDER
BPMC 98-315

DAVID KOREN, M.D., (Respondent) says:

That on or about September 29, 1987, I was licensed to practice as a physician in the State of New York, having been issued License No. 172529 by the New York State Education Department.

My current address is <u>40 Sneffield Load Gansevoort</u>, <u>My 12831</u> and I will advise the Director of the Office of Professional Medical Conduct of any change of my address.

I understand that the New York State Board for Professional Medical Conduct has charged me with four specifications of professional misconduct.

A copy of the Statement of Charges is annexed hereto, made a part hereof, and marked as Exhibit "A".

I do not contest the Third Specification concerning factual allegations A.1 and A.11 in full satisfaction of the charges against me. I hereby agree to the following penalty: imposition of a censure and reprimand and a probationary period of thirteen months during which I must fully comply with the Terms of Probation appended here to as Attachment B.

I further agree that the Consent Order for which I hereby apply shall impose the following conditions:

That, except during periods of actual suspension, Respondent shall maintain current registration of Respondent's license with the New York State Education Department Division of Professional
Licensing Services, and pay all registration fees. This
condition shall be in effect beginning thirty days after the
effective date of the Consent Order and continuing until
the full term of the Order has run, and until any
associated period of probation and all probation terms
have been completed and satisfied; and

That Respondent shall fully cooperate in every respect with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Order and in its investigation of all matters regarding Respondent.

Respondent shall respond in a timely manner to each and every request by OPMC to provide written periodic verification of Respondent's compliance with the terms of this Order.

Respondent shall meet with a person designated by the Director of OPMC as directed. Respondent shall respond promptly and provide any and all documents and information within Respondent's control upon the direction of OPMC.

I hereby stipulate that any failure by me to comply with such condition shall constitute misconduct as defined by New York State Education Law §6530(29).

I agree that in the event I am charged with professional misconduct in the future, this agreement and order shall be admitted into evidence in that proceeding.

I hereby make this Application to the State Board for Professional Medical

Conduct (the Board) and request that it be granted.

I understand that, in the event that this Application is not granted by the Board, nothing contained herein shall be binding upon me or construed to be an admission of any act of misconduct alleged or charged against me, such Application shall not be used against me in any way and shall be kept in strict confidence during the pendency of the professional misconduct disciplinary proceeding; and such denial by the Board shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board pursuant to the provisions of the Public Health Law.

I agree that, in the event the Board grants my Application, as set forth herein, an order of the Chairperson of the Board shall be issued in accordance with same. I agree that such order shall be effective upon issuance by the Board, which may be accomplished by mailing, by first class mail, a copy of the Consent Order to me at the address set forth in this agreement, or to my attorney, or upon transmission via facsimile to me or my attorney, whichever is earliest.

I am making this Application of my own free will and accord and not under duress, compulsion or restraint of any kind or manner. In consideration of the value to me of the acceptance by the Board of this Application, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive any right I may have to contest the Consent Order for which I hereby apply, whether administratively or judicially, and ask that the Application be granted.

AFFIRMED:

DATED<u>/2///</u>

DAVID KOREN, M.D.

The undersigned agree to the attached application of the Respondent and to the proposed penalty based on the terms and conditions thereof.

DATE: 12/11/98

TERENCE P. O'CONNOR Attorney for Respondent

DATE: 12/17/93

Associate Counsel Bureau of Professional Medical Conduct

DATE: <u>Ruenher</u> 18, 1998

Director

Office of Professional Medical Conduct

8:17

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT DEPARTMENT OF HEALTH

IN THE MATTER **OF**

CONSENT ORDER

DAVID KOREN, M.D.

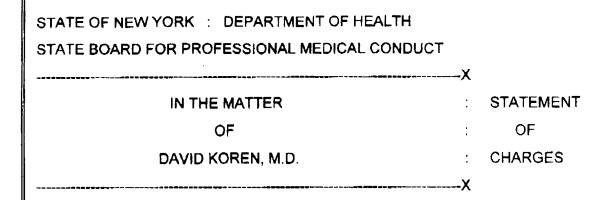
Upon the proposed agreement of DAVID KOREN, M.D. (Respondent) for Consent Order, which application is made a part hereof, it is agreed to and

ORDERED, that the application and the provisions thereof are hereby adopted and so ORDERED, and it is further

ORDERED, that this order shall be effective upon issuance by the Board, which may be accomplished by mailing, by first class mail, a copy of the Consent Order to Respondent at the address set forth in this agreement or to Respondent's attorney by certified mail, or upon transmission via facsimile to Respondent or Respondent's attorney, whichever is earliest.

SO ORDERED.

State Board for Professional Medical Conduct



DAVID KOREN, M.D., the Respondent, was authorized to practice medicine in New York State on September 29, 1987, by the issuance of license number 172529 by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. Respondent provided medical treatment to Patient A (identified in Appendix A) in the Emergency Department of Saratoga Hospital from on or about February 25, 1994, through on or about February 26, 1994. Respondent's care and treatment of Patient A did not meet acceptable standards of care, in that:
 - 1. Respondent ordered inappropriate fluid for volume resuscitation of Patient A.
 - 2. Respondent ordered intravenous fluids to be administered at an excessive rate and/or in an excessive amount.
 - 3. Respondent failed to order appropriate monitoring of Patient A's vital signs.
 - 4. Respondent failed to order appropriate monitoring of Patient A's input and output.
 - 5. Respondent failed to appropriately re-evaluate Patient A after her respiratory rate was reported to increase from 18 to 32 and 28.
 - 6. Respondent failed to appropriately evaluate Patient A's lack of adequate urine output.
 - 7. Respondent failed to appropriately monitor Patient A's blood pressure and/or capillary refill.
 - 8. Respondent failed to appropriately evaluate and treat Patient A after he

- had diagnosed her as having diabetic ketoacidosis.
- Respondent failed to appropriately evaluate the patient after administering insulin to her.
- 10. Respondent inappropriately administered dextrose to Patient A without adequately assessing her response to insulin.
- 11. Respondent inappropriately believed that care of Patient A was transferred to a pediatrician when he first called her for consultation.
- 12. Respondent failed to maintain an adequate record of his evaluation and treatment of Patient A as specified in paragraphs A.1, A.2, A.3, A.4, A.5, A.6, A.7, A.8, A.9, and/or A.10.

SPECIFICATION OF CHARGES

FIRST SPECIFICATION

GROSS NEGLIGENCE

Respondent is charged with practicing the profession with gross negligence on a particular occasion within the meaning of New York Education Law § 6530(4), in that Petitioner charges that the following, individually and/or in any combination thereof, constitute gross negligence:

1. The facts in paragraphs A and A.1, A and A.2, A and A.3, A and A.4, A and A.5, A and A.6, A and A.7, A and A.8, A and A.9, A and A.10, A and A.11.

SECOND SPECIFICATION

GROSS INCOMPETENCE

Respondent is charged with practicing the profession with gross incompetence within the meaning of New York Education Law § 6530(6), in that Petitioner charges that the following, individually and/or in any combination thereof, constitute gross negligence:

2. The facts in paragraphs A and A.1, A and A.2, A and A.3, A and A.4, A and A.5, A and A.6, A and A.7, A and A.8, A and A.9, A and A.10, A and A.11.

THIRD SPECIFICATION

NEGLIGENCE ON MORE THAN ONE OCCASION

Respondent is charged with practicing the profession with negligence on more than one occasion within the meaning of New York Education Law § 6530(3), in that Petitioner charges two or more of the following:

The facts in paragraphs A and A.1, A and A.2, A and A.3, A and A.4, A 3. and A.5, A and A.6, A and A.7, A and A.8, A and A.9, A and A.10, A and A.11.

FOURTH SPECIFICATION

FAILURE TO MAINTAIN RECORDS

Respondent is charged with professional misconduct under New York Education Law § 6530(32) by reason of his failure to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient, in that Petitioner charges:

The facts in paragraphs A and A.12. 4.

DATED: December (7, 1998)

Albany, New York

Deputy Counsel

SD. Van Buren

Bureau of Professional Medical Conduct

8:20

EXHIBIT "B"

TERMS OF PROBATION

- 1. Respondent shall conduct himself in all ways in a manner befitting his professional status, and shall conform fully to the moral and professional standards of conduct and obligations imposed by law and by his profession.
- 2. Respondent shall submit written notification to the New York State Department of Health addressed to the Director of the Office of Professional Medical Conduct, New York State Department of Health, 433 River Street, Suite 303, Troy, NY 12180-2299; said notice is to include a full description of any employment and practice, professional and residential addresses and telephone numbers within or without New York State, and any and all investigations, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility, within thirty days of each action.
- The period of probation shall be tolled during periods in which Respondent is not engaged in the active practice of medicine in New York State. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in or intends to leave the active practice of medicine in New York State for a period of thirty (30) consecutive days or more. Respondent shall then notify the Director again prior to any change in that status. The period of probation shall resume and any terms of probation which were not fulfilled shall be fulfilled upon Respondent's return to practice in New York State.
- 4. Respondent's professional performance may be reviewed by the Director of OPMC. This review may include, but shall not be limited to, a review of office records, patient records and/or hospital charts, interviews with or periodic visits with Respondent and his staff at practice locations or OPMC offices.
- 5. Respondent shall maintain legible and complete medical records which accurately reflect the evaluation and treatment of patients. The medical records shall contain all information required by State rules and regulations regarding controlled substances.
- 6. No later than one month after the effective date of this order, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC.
 - a. Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection of no less than 10% of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to

cooperate with the monitor shall be reported within 24 hours to OPMC.

- b. Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
- c. Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
- d. Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.
- 7. Respondent shall enroll in and complete a training program in Pediatric Advanced Life Support, said education program shall be subject to the prior written approval of the Director of OPMC and be completed within the period of probation or as otherwise specified in the Order.
- Respondent shall comply with all terms, conditions, restrictions, limitations and penalties to which he is subject pursuant to the Order and shall assume and bear all costs related to compliance. Upon receipt of evidence of noncompliance with, or any violation of these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding and/or any such other proceeding against Respondent as may be authorized pursuant to the law.