

Corning Tower

The Governor Nelson A. Rockefeller Empire State Plaza

Albany, New York 12237

Barbara A. DeBuono, M.D., M.P.H. Commissioner Karen Schimke Executive Deputy Commissioner

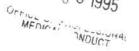
November 9, 1995

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Ann Gayle, Esq.
NYS Department of Health
Metropolitan Regional Office
5 Penn Plaza-Sixth Floor
New York, NY 10001

Derrick K. Mobley, M.D.

REDACTED



RE: In the Matter of Derrick K. Mobley, M.D.

Dear Ms. Gayle and Dr. Mobley:

Enclosed please find the Determination and Order (No. 95-265) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

Five days after receipt of this Order, you will be required to deliver to the Board of Professional Medical Conduct your license to practice medicine if said license has been revoked, annulled, suspended or surrendered, together with the registration certificate. Delivery shall be by either **certified mail or in person** to:

Office of Professional Medical Conduct New York State Department of Health Corning Tower - Fourth Floor (Room 438) Empire State Plaza Albany, New York 12237 If your license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, you shall submit an affidavit to that effect. If subsequently you locate the requested items, they must then be delivered to the Office of Professional Medical Conduct in the manner noted above.

As prescribed by the New York State Public health Law §230, subdivision 10, paragraph (i), and §230-c subdivisions 1 through 5, (McKinney Supp. 1992), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

Request for review of the Committee's determination by the Administrative Review Board stays all action until final determination by that Board. Summary orders are not stayed by Administrative Review Board reviews.

All notices of review must be served, by **certified mail**, upon the Administrative Review Board **and** the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Administrative Law Judge New York State Department of Health Bureau of Adjudication Empire State Plaza Corning Tower, Room 2503 Albany, New York 12237-0030

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely.

REDACTED

Tyrone T. Butler, Director Bureau of Adjudication

TTB:nm

Enclosure

STATE OF NEW YORK : DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

DERRICK K. MOBLEY, M.D.

DETERMINATION

AND

ORDER

BPMC-95-265

A Notice of Hearing and Statement of Charges both dated August 14, 1995, were served upon the Respondent, DERRICK K. MOBLEY, M.D. RICHARD PIERSON, JR., M.D., (Chair), ANTHONY CLEMENDOR, M.D. and GEORGE C. SIMMONS, duly designated members of the State Board for Professional Medical Conduct, served as the Hearing Committee in this matter pursuant to Section 230(10)(e) of the Public Health Law. CHRISTINE C. TRASKOS, ESQ., Administrative Law Judge, served as the Administrative Officer. A hearing was held on September 21, 1995. The Department of Health appeared by JERRY JASINSKI, Acting General Counsel, ANN GAYLE, ESQ., Associate Counsel, of Counsel. The Respondent did not appear and was not represented by counsel. Evidence was received and witnesses sworn and heard and transcripts of these proceedings were made.

After consideration of the entire record, the Hearing Committee issues this Determination and Order.

STATEMENT OF CASE

This case was brought pursuant to Public Health Law Section 230(10)(p). The statute provides for an expedited hearing where a licensee is charged solely with a violation of Education Law Section 6530(9). In such cases, a licensee is charged with misconduct based upon a prior criminal conviction in New York or another jurisdiction, or upon a prior administrative adjudication

regarding conduct which would amount to professional misconduct, if committed in New York. The scope of an expedited hearing is limited to a determination of the nature and severity of the penalty to be imposed upon the licensee.

In the instant case, Respondent is charged with professional misconduct pursuant to Education Law Section 6530 (8), 6530 (9) (b) and 6530 (9) (d). A Copy of the Notice of Referral Proceeding and Statement of Charges is attached to this Determination and Order in Appendix I.

FINDINGS OF FACT

The following Findings of Fact were made after a review of the entire record in this matter.

Numbers in parentheses refer to transcript page numbers or exhibits. These citations represent evidence found persuasive by the Hearing Committee in arriving at a particular finding. Conflicting evidence, if any, was considered and rejected in favor of the cited evidence.

- Respondent was authorized to practice medicine in New York State on or about July 29,
 1991 by the issuance of license number 186402 by the New York State Education Department.
 (Pet. Ex. #2)
- 2. On or about December 28, 1994, the State Board of Medicine of the Commonwealth of Pennsylvania (Board), pursuant to the (Pennsylvania) Medical Practice Act 63 P.S. Section 422.41(4), (5) and (8), found that Respondent's ability to practice medicine with reasonable skill and safety to patients was gravely impaired by reason of his admitted addiction to cocaine and his repeated aborted attempts at recovery; the Board also found that Respondent had voluntarily surrendered his license to practice medicine in the State of New Jersey due to his substance abuse problem." (Pet. Ex. 3)
- 3. On or about January 28, 1994, the Board ordered that Respondent's license to practice medicine and surgery in the Commonwealth of Pennsylvania be revoked. (Pet. Ex. 3)
- 4. On or about January 28, 1993, in a Consent Order, Respondent voluntarily surrendered his license to practice medicine in the State of New Jersey. (Pet. Ex. 4)

CONCLUSIONS OF LAW

The following conclusions were made pursuant to the Findings of Fact listed above. All conclusions resulted from a unanimous vote of the Hearing Committee unless noted otherwise.

The Hearing Committee unanimously concluded that the Department has sustained its burden of proof. The preponderance of the evidence demonstrates that Respondent was disciplined by the Pennsylvania Board of Medicine for his impairment due to his admitted addiction to cocaine. Section 6530 (9) (b) of the Education Law defines professional misconduct as "Having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York State, constitute professional misconduct under the laws of New York State." Section 6530 (8) of the Education Law defines professional misconduct in part as.... "being dependent on or a habitual user of narcotics, barbiturates, amphetamines, hallucinogens, or other drugs having similar effects,..." In addition, Respondent voluntarily surrendered his license to practice medicine in New Jersey. Section 6530 (9) (d) defines professional misconduct as "Having his or her license to practice medicine revoked, suspended or having other disciplinary action taken, or having his or her application for a license refused, revoked or suspended or having voluntarily or otherwise surrendered his or her license after a disciplinary action was instituted by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the revocation, suspension or other disciplinary action involving the license or refusal, revocation or suspension of an application for a license or the surrender of the license would, if committed in New York State, constitute professional misconduct under the laws of New York State." As a result, the Hearing Committee voted to sustain the First and Second Specifications of professional misconduct contained within the Statement of Charges.

DETERMINATION AS TO PENALTY

The Hearing Committee, pursuant to the Findings of Fact and Conclusions of Law set forth above, unanimously determined that Respondent's license to practice medicine in New York State should be revoked. This determination was reached upon due consideration of the full spectrum of penalties available pursuant to statute, including revocation, suspension and/or probation, censure and reprimand, and the imposition of monetary penalties.

Respondent did not appear at the hearing and no evidence was offered on his behalf. The evidence from the Pennsylvania Board clearly shows that Respondent was unable to complete any drug rehabilitation programs and that he failed to submit to urine screenings. By his failure to appear at this New York proceeding, the Hearing Committee can only conclude that Respondent's drug impairment remains unresolved.

The Hearing Committee's primary obligation is to protect the health and safety of the citizens of New York State. Respondent's addiction to cocaine creates a grave risk of harm to any patient under his care. Under the totality of the circumstance, revocation is the appropriate sanction in this instance.

ORDER

Based upon the foregoing, IT IS HEREBY ORDERED THAT:

- The Specifications of professional misconduct contained within the Statement of Charges (Petitioner's Exhibit #1) is <u>SUSTAINED</u>;
- Respondent's license to practice medicine in New York State be and hereby is REVOKED.

Dated: Albany, New York , 1995

REDACTED

RICHARD PIERSON, M.D.. (Chair)

ANTHONY CLEMENDOR, M.D. GEORGE C. SIMMONS, Ed. D.

TO: Anne Gayle, Esq.
Associate Counsel
NYS Department of Health
5 Penn Plaza - 6th Floor
New York, NY 10001

Derrick K. Mobley, M.D.

REDACTED



APPENDIX I

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

DERRICK K. MOBLEY, M.D.

NOTICE OF REFERRAL PROCEEDING



TO: Derrick K. Mobley, M.D. REDACTED

PLEASE TAKE NOTICE THAT:

An adjudicatory proceeding will be held pursuant to the provisions of N.Y.

Pub. Health Law §§230(10)(p) (McKinney Supp. 1995) and N.Y. State Admin. Proc.

Act §§301-307 and 401 (McKinney 1984 and Supp. 1995). The proceeding will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct (Committee) on September 21, 1995, at 10:00 a.m., at the offices of the New York State Department of Health, 5 Penn Plaza, Sixth Floor, New York, New York 10001.

At the proceeding, evidence will be received concerning the allegations set forth in the Statement of Charges, which is attached. A stenographic record of the proceeding will be made and the witnesses at the proceeding will be sworn and examined.

You may appear in person at the proceeding and may be represented by counsel. You may produce evidence or sworn testimony on your behalf. Such evidence or sworn testimony shall be strictly limited to evidence and testimony relating to the nature and severity of the penalty to be imposed upon the licensee. Where the charges are based on the conviction of state law crimes in other jurisdictions, evidence may be offered which would show that the conviction would not be a crime in New York State. The Committee also may limit the number of witnesses whose testimony will be received, as well as the length of time any witness will be permitted to testify.

If you intend to present sworn testimony, the number of witnesses and an estimate of the time necessary for their direct examination must be submitted to the New York State Department of Health, Division of Legal Affairs, Bureau of Adjudication, Coming Tower Building, 25th Floor, Empire State Plaza, Albany, New York 12237, ATTENTION: HON. TYRONE BUTLER, DIRECTOR, BUREAU OF ADJUDICATION, (henceforth "Bureau of Adjudication") as well as the Department of Health attorney indicated below, no later than twenty days prior to the scheduled date of the Referral Proceeding, as indicated above.

You may file a written answer, brief, and affidavits with the Committee. Six copies of all papers you wish to submit must be filed with the Bureau of Adjudication at the address indicated above, no later than fourteen days prior to the scheduled date of the Referral Proceeding, and a copy of all papers must be served on the same date on the Department of Health attorney indicated below. Pursuant to §301(5) of the State Administrative Procedure Act, the Department, upon reasonable notice, will provide at no charge a qualified interpreter of the deaf to interpret the proceedings to, and the testimony of, any deaf person.

The proceeding may be held whether or not you appear. Please note that requests for adjournments must be made in writing to the Bureau of Adjudication, at the address indicated above, with a copy of the request to the attorney for the Department of Health, whose name appears below, at least five days prior to the scheduled date of the proceeding. Adjournment requests are not routinely granted. Claims of court engagement will require detailed affidavits of actual engagement. Claims of illness will require medical documentation. Failure to obtain an attorney within a reasonable period of time prior to the proceeding will not be grounds for an adjournment.

The Committee will make a written report of its findings, conclusions as to guilt, and a determination. Such determination may be reviewed by the administrative review board for professional medical conduct.

SINCE THESE PROCEEDINGS MAY RESULT IN A
DETERMINATION THAT SUSPENDS OR REVOKES YOUR
LICENSE TO PRACTICE MEDICINE IN NEW YORK STATE
AND/OR IMPOSES A FINE FOR EACH OFFENSE
CHARGED, YOU ARE URGED TO OBTAIN AN ATTORNEY
TO REPRESENT YOU IN THIS MATTER.

DATED: New York, New York

→ 1995

REDACTED

ROY NEMERSON Deputy Counsel Bureau of Professional Medical Conduct

Inquiries should be addressed to:

Ann Gayle Associate Counsel NYS Department of Health Division of Legal Affairs 5 Penn Plaza, Suite 601 New York, New York 10001 (212) 613-2615

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NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

OF

DERRICK K. MOBLEY, M.D.

OF CHARGES

Derrick K. Mobley, M.D., the Respondent, was authorized to practice medicine in New York State on or about July 29, 1991, by the issuance of license number 186402, by the New York State Education Department.

FACTUAL ALLEGATIONS

- A. On or about December 28, 1994, the State Board of Medicine of the Commonwealth of Pennsylvania (Board), pursuant to the (Pennsylvania) Medical Practice Act 63 P.S. §§422.41(4), (5) and (8), found that Respondent's ability to practice medicine with reasonable skill and safety to patients was gravely impaired by reason of his admitted addiction to cocaine and his repeated aborted attempts at recovery; the Board also found that Respondent had voluntarily surrendered his license to practice medicine in the State of New Jersey due to his "substance abuse problem".
- B. On or about December 28, 1994, the Board ordered that Respondent's license to practice medicine and surgery in the Commonwealth of Pennsylvania be revoked.
- C. On or about January 28, 1993, in a Consent Order, Respondent voluntarily surrendered his license to practice medicine in the State of New Jersey.

SPECIFICATION OF CHARGES

FIRST SPECIFICATION HAVING BEEN FOUND GUILTY OF PROFESSIONAL MISCONDUCT

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(9)(b)(McKinney Supp. 1995) by having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York state, constitute professional misconduct under the laws of New York state (namely N.Y. Educ. Law § 6530 (8)) as alleged in the facts of the following:

Paragraphs A and/or B.

SECOND SPECIFICATION HAVING HAD DISCIPLINARY ACTION TAKEN

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law §6530(9)(d)(McKinney Supp. 1995) by having his or her license to practice medicine revoked, suspended or having other disciplinary action taken, or having his or her application for a license refused, revoked or suspended or having voluntarily or otherwise surrendered his or her license after a disciplinary action was instituted by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the revocation, suspension or other disciplinary action involving the license or refusal, revocation or suspension of an application for a license or the surrender of the license would, if committed in New York state,

WOTHER THE DEPOSIT NAME OF PERSON HOLD

constitute professional misconduct under the laws of New York state (namely N.Y. Educ. Law §6530(8)) as alleged in the facts of the following:

2. Paragraphs A and/or B and/or C.

DATED:

August /4 , 1995 New York, New York

· REDACTED

ROY NEMERSON Deputy Counsel Bureau of Professional Medical Conduct