

November 13, 2013

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Arnold Rosenbaum, M.D.
REDACTED

Jude B. Mulvey, Esq.
NYS Department of Health
ESP-Corning Tower-Room 2512
Albany, New York 12237

RE: In the Matter of Arnold Rosenbaum, M.D.

Dear Parties:

Enclosed please find the Determination and Order (No. 13-376) of the Hearing Committee in the above referenced matter. This Determination and Order shall be deemed effective upon the receipt or seven (7) days after mailing by certified mail as per the provisions of §230, subdivision 10, paragraph (h) of the New York State Public Health Law.

Five days after receipt of this Order, you will be required to deliver to the Board of Professional Medical Conduct your license to practice medicine together with the registration certificate. Delivery shall be by either certified mail or in person to:

Office of Professional Medical Conduct
New York State Department of Health
Office of Professional Medical Conduct
Riverview Center
150 Broadway - Suite 355
Albany, New York 12204

If your license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, you shall submit an affidavit to that effect. If subsequently you locate the requested items, they must then be delivered to the Office of Professional Medical Conduct in the manner noted above.

As prescribed by the New York State Public Health Law §230, subdivision 10, paragraph (i), (McKinney Supp. 2013) and §230-c subdivisions 1 through 5, (McKinney Supp. 2013), "the determination of a committee on professional medical conduct may be reviewed by the Administrative Review Board for professional medical conduct." Either the licensee or the Department may seek a review of a committee determination.

Request for review of the Committee's determination by the Administrative Review Board stays penalties other than suspension or revocation until final determination by that Board. Summary orders are not stayed by Administrative Review Board reviews.

All notices of review must be served, by certified mail, upon the Administrative Review Board and the adverse party within fourteen (14) days of service and receipt of the enclosed Determination and Order.

The notice of review served on the Administrative Review Board should be forwarded to:

James F. Horan, Esq., Chief Administrative Law Judge
New York State Department of Health
Bureau of Adjudication
Riverview Center
150 Broadway – Suite 510
Albany, New York 12204

The parties shall have 30 days from the notice of appeal in which to file their briefs to the Administrative Review Board. Six copies of all papers must also be sent to the attention of Mr. Horan at the above address and one copy to the other party. The stipulated record in this matter shall consist of the official hearing transcript(s) and all documents in evidence.

Parties will be notified by mail of the Administrative Review Board's Determination and Order.

Sincerely,

REDACTED
James F. Horan
Chief Administrative Law Judge
Bureau of Adjudication

JFH:cah
Enclosure

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
ARNOLD ROSENBAUM, M.D.
CO-12-06-3107-A

DETERMINATION
AND
ORDER
BPMC #13-376

COPY

A hearing was held on September 19, 2013, at the offices of the New York State Department of Health ("the Petitioner"). A Notice of Referral Proceeding and Statement of Charges dated July 23, 2013, were served upon the Respondent, **Arnold Rosenbaum, M.D.** Pursuant to Section 230(10)(e) of the Public Health Law, **Jerry Waisman**, Chairperson, **Elisa J. Wu, M.D.**, and **Paul J. Lambiase**, duly designated members of the State Board for Professional Medical Conduct, served as the Hearing Committee in this matter. **William J. Lynch, Esq.**, Administrative Law Judge, served as the Administrative Officer.

The Petitioner appeared by **James E. Dering, Esq.**, General Counsel, by **Jude B. Mulvey, Esq.**, of Counsel. The Respondent did not appear at the hearing.

Evidence was received and transcripts of these proceedings were made.

After consideration of the entire record, the Hearing Committee issues this Determination and Order.

BACKGROUND

This case was brought pursuant to Public Health Law Section 230(10)(p). The statute provides for an expedited hearing when a licensee is charged solely with a

violation of Education Law Section 6530(9). In such cases, a licensee is charged with misconduct based upon a prior criminal conviction in New York State or another jurisdiction, or upon a prior administrative adjudication regarding conduct that would amount to professional misconduct, if committed in New York. The scope of an expedited hearing is limited to a determination of the nature and severity of the penalty to be imposed upon the licensee.

In the instant case, the Respondent is charged with professional misconduct pursuant to Education Law Section 6530(9)(d). Copies of the Notice of Referral Proceeding and the Statement of Charges are attached to this Determination and Order as Appendix 1.

WITNESSES

| | |
|---------------------|------|
| For the Petitioner: | None |
| For the Respondent: | None |

FINDINGS OF FACT

The following Findings of Fact were made after a review of the entire record in this matter. Numbers below in parentheses refer to exhibits, denoted by the prefix "Ex." These citations refer to evidence found persuasive by the Hearing Committee in arriving at a particular finding. Conflicting evidence, if any, was considered and rejected in favor of the cited evidence. All Hearing Committee findings were unanimous.

1. Arnold Rosenbaum, M.D., the Respondent, was authorized to practice medicine in New York State on July 6, 1967, by the issuance of license number 099105 by the New York State Education Department (Petitioner's Ex. 4).

2. On or about June 4, 2012, the Board of Licensure and Discipline of the State of Rhode Island, Department of Health ("Rhode Island Board") summarily suspended Respondent's controlled substance registration (Petitioner's Ex. 6).

3. On or about November 14, 2012, the Rhode Island Board found that Respondent's pattern of prescribing controlled substances to his spouse did not meet an acceptable standard of medical practice. The Rhode Island Board took a disciplinary action against Respondent including orders that he complete approved training programs on prescribing controlled substances and ethics; that his prescription writing and medical record documentation be monitored for two years; and that he be prohibited from prescribing controlled substances to his spouse (Petitioner's Ex. 5).

HEARING COMMITTEE CONCLUSIONS

Respondent's conduct constitutes misconduct under the laws of New York State as negligence on more than one occasion (Education Law section 6530[3]).

VOTE OF THE HEARING COMMITTEE

FIRST SPECIFICATION

Respondent violated New York State Education Law Section 6530(9)(d) by having disciplinary action taken by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the disciplinary action would, if committed in New York State, constitute professional misconduct under the laws of New York State.

HEARING COMMITTEE DETERMINATION

The Respondent did not appear at the hearing. After considering the documentary evidence concerning service of the Notice of Referral Proceeding and the Statement of Charges (Petitioner's Ex. 2, 3), the Administrative Law Judge ruled that the Petitioner had met the requirements of law for service of process, that jurisdiction had been established over the Respondent, and that the hearing could proceed on the merits despite Respondent's absence.

The Rhode Island Board found that Respondent issued 38 prescriptions of Schedule 2, 3 and 4 controlled substances between March 31, 2009 and April 3, 2012 in spite of the fact that Respondent had agreed that his wife would be treated by another physician and that he would discontinue prescribing her controlled substances. Further, the Rhode Island Board found that Respondent's pattern of prescribing these controlled substances did not meet an acceptable standard of medical practice.

In the course of the Department's investigation, Respondent sent a letter issued by the Rhode Island Board which indicates that Respondent had completed certain continuing medical education programs; that Respondent's medical license was unrestricted; and that his probation will end on November 14, 2014 so long as he abides by the terms of the Consent Decree and all other standards of care (Exhibit A). The Department, nonetheless, requested that Respondent's license be revoked.

The Hearing Committee concluded that the gravity of the Respondent's negligent conduct was increased because the Rhode Island Board had told Respondent that his practice was negligent and dangerous in 2008, but Respondent continued despite his agreement to discharge his chronic pain patients and stop treating his wife. Respondent's failure to appear at this proceeding deprived the Hearing Committee of an

opportunity to assess his remorse or rehabilitation for his prior misconduct. Absent this evidence, the Hearing Committee concluded that Respondent's license to practice medicine in this State should be revoked.

ORDER

IT IS HEREBY ORDERED THAT:

1. Respondent's license to practice medicine in the State of New York is revoked;
2. This Order shall be effective upon service on the Respondent in accordance with the requirements of Public Health Law Section 230(10)(h).

DATED: New York, New York
Nov. 7, 2013

REDACTED

~~Jerby Waisman~~
Chairperson

Elisa J. Wu, M.D.
Paul J. Lamblase

APPENDIX I

STATE OF NEW YORK DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
ARNOLD ROSENBAUM, M.D.
CO-12-06-3107-A

NOTICE OF
REFERRAL
PROCEEDING

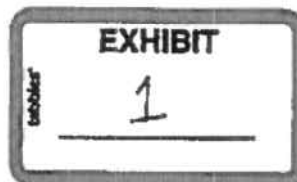
TO: Arnold Rosenbaum, M.D.
REDACTED

PLEASE TAKE NOTICE THAT:

An adjudicatory proceeding will be held pursuant to the provisions of New York Public Health Law §§230(10)(p) and New York State Administrative Procedures Act §§301-307 and 401. The proceeding will be conducted before a committee on professional conduct of the State Board for Professional Medical Conduct (Committee) on the 19th day of September, 2013, at 10:30 a.m., at the offices of the New York State Department of Health, Rlvreview Center, 150 Broadway, Suite 510, Albany, NY 12204-2719.

At the proceeding, evidence will be received concerning the allegations set forth in the Statement of Charges, that is attached. A stenographic record of the proceeding will be made and the witnesses at the proceeding will be sworn and examined.

You may appear in person at the proceeding and may be represented by counsel who shall be an attorney admitted to practice in New York state. You may produce evidence and/or sworn testimony on your behalf. Such evidence and/or sworn testimony shall be strictly limited to evidence and testimony relating to the nature and severity of the penalty to be imposed upon the licensee. Where the charges are based on the conviction of state law crimes in other jurisdictions, evidence may be offered that would show that the conviction would not be a crime in New York State. The Committee also may limit the number of witnesses whose testimony will be received, as well as the length of time any witness will be permitted to testify.



If you intend to present sworn testimony, the number of witnesses and an estimate of the time necessary for their direct examination must be submitted to the New York State Department of Health, Division of Legal Affairs, Bureau of Adjudication, Riverview Center, 150 Broadway, Suite 510, Albany, NY 12204-2719, ATTENTION: HON. JAMES F. HORAN, ACTING DIRECTOR, BUREAU OF ADJUDICATION (Telephone: (518-402-0748), (henceforth "Bureau of Adjudication") as well as the Department of Health attorney indicated below, no later than ten (10) days prior to the scheduled date of the Referral Proceeding, as indicated above.

Pursuant to the provisions of New York Public Health Law §230(10)(p), you shall file a written answer to each of the charges and allegations in the Statement of Charges not less than ten (10) days prior to the date of the hearing. Any charge or allegation not so answered shall be deemed admitted. You may wish to seek the advice of counsel prior to filing such answer. The answer shall be filed with the Bureau of Adjudication, at the address indicated above, and a copy shall be forwarded to the attorney for the Department of Health, whose name appears below. You may file a written brief and affidavits with the Committee. Six (6) copies of all papers you submit must be filed with the Bureau of Adjudication at the address indicated above, no later than fourteen (14) days prior to the scheduled date of the Referral Proceeding, and a copy of all papers must be served on the same date on the Department of Health attorney, indicated below. Pursuant to §301(5) of the State Administrative Procedure Act, the Department, upon reasonable notice, will provide, at no charge, a qualified interpreter of the deaf to interpret the proceedings to, and the testimony of, any deaf person. Pursuant to the terms of New York State Administrative Procedure Act §401 and 10 N.Y.C.R.R. §51.8(b), the Petitioner demands, hereby, disclosure of the evidence that Respondent intends to introduce at the hearing, including the names of witnesses, a list of and copies of documentary evidence, and a description of physical and/or other evidence that cannot be photocopied.

YOU ARE ADVISED, HEREBY, THAT THE ATTACHED CHARGES WILL BE MADE PUBLIC FIVE (5) BUSINESS DAYS AFTER THEY ARE SERVED.

Department attorney: Initial here _____

The proceeding may be held whether or not you appear. Please note that requests for adjournments must be made in writing to the Bureau of Adjudication, at the address indicated above, with a copy of the request to the attorney for the Department of Health, whose name appears below, at least five (5) days prior to the scheduled date of the proceeding. Adjournment requests are not routinely granted. Claims of court engagement will require detailed affidavits of actual engagement. Claims of illness will require medical documentation. Failure to obtain an attorney within a reasonable period of time prior to the proceeding will not be grounds for an adjournment.

The Committee will make a written report of its findings, conclusions as to guilt, and a determination. Such determination may be reviewed by the administrative review board for professional medical conduct.

SINCE THESE PROCEEDINGS MAY RESULT IN A DETERMINATION THAT SUSPENDS OR REVOKES YOUR LICENSE TO PRACTICE MEDICINE IN NEW YORK STATE AND/OR IMPOSES A FINE FOR EACH OFFENSE CHARGED, YOU ARE URGED TO OBTAIN AN ATTORNEY TO REPRESENT YOU IN THIS MATTER.

DATED: Albany, New York

July 23, 2013

REDACTED

MICHAEL A. HISER
Acting Deputy Counsel
Bureau of Professional Medical Conduct

Inquiries should be addressed to:

Jude B. Mulvey
Associate Counsel
Bureau of Professional Medical Conduct
Corning Tower – Room 2512
Empire State Plaza
Albany, NY 12237
(518) 473-4282

STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER
OF
ARNOLD ROSENBAUM, M.D.
CO-12-06-3107-A

STATEMENT
OF
CHARGES

ARNOLD ROSENBAUM, M.D., Respondent, was authorized to practice medicine in New York state on July 6, 1967, by the issuance of license number 099105 by the New York State Education Department.

FACTUAL ALLEGATIONS

A. On or about June 4, 2012, the State of Rhode Island, Department of Health, Board of Licensure and Discipline (hereinafter "Rhode Island Board") summarily suspended Respondent's controlled substances registration after it determined that the continuation of the controlled substances registration of Respondent constitutes an immediate threat to the health, welfare of the public.

B. On or about November 14, 2012, the Rhode Island Board by Consent Agreement, reinstated Respondent's controlled substances registration and, among others, ordered him to complete Board approve Training programs in the areas of Prescribing Controlled Drugs and Ethics, and to have a monitor in place to monitor his prescription writing and medical records documentation for two years, and prohibited Respondent from prescribing controlled substances to his spouse. The Rhode Island Board action was based upon Respondent's pattern of prescribing controlled substances to his wife in a manner that does not meet standards of acceptable practice.

C. The conduct resulting in the Rhode Island Board disciplinary action against Respondent would constitute misconduct under the laws of New York State, pursuant to the following sections of New York State law:

1. New York Education Law §6530(3) (negligence on more than one occasion).

SPECIFICATIONS
FIRST SPECIFICATION

Respondent violated New York Education Law §6530(9)(d) by having disciplinary action taken by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the disciplinary action would, if committed in New York state, constitute professional misconduct under the laws of New York state, in that Petitioner charges:

1. The facts in Paragraphs A, B and/or C.

DATED: *July 23*, 2013
Albany, New York

REDACTED

MICHAEL A. HISER
Acting Deputy Counsel
Bureau of Professional Medical Conduct