

Department of Health

ANDREW M. CUOMO Governor

HOWARD A. ZUCKER, M.D., J.D. Commissioner

SALLY DRESLIN, M.S., R.N. Executive Deputy Commissioner

June 17, 2016

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

Marvin Friedlander, M.D. 700 Rahway Avenue Union, New Jersey 07083

RE: License No. 155491

Dear Dr. Friedlander:

Enclosed is a copy of the New York State Board for Professional Medical Conduct (BPMC) Order No. 16-210. This Order and any penalty provided therein goes into effect June 24, 2016.

If the penalty imposed by the Order is a fine, please write the check payable to the New York State Department of Health. Noting the BPMC Order number on your remittance will assist in proper crediting. Payments should be directed to the following address:

Bureau of Accounts Management New York State Department of Health Corning Tower, Room 2784 Empire State Plaza Albany, New York 12237

Please direct any questions to: Board for Professional Medical Conduct, 90 Church Street, 4th Floor, New York, NY 10007-2919, telephone # 212-417-4445.

Sincerely.

Henry Spector, M.D.
Acting Executive Secretary
Board for Professional Medical Conduct

cc: David Richman, Esq. Rivkin Radler, Attorneys at Law 929 RXR Plaza Uniondale, New York 11556

Enclosure

NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER OF MARVIN FRIEDLANDER, M.D.

CONSENT ORDER

Upon the application of (Respondent) Marvin Friedlander, M.D. in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is

ORDERED, that the Consent Agreement, and its terms, are adopted and it is further

ORDERED, that this Consent Order shall be effective upon issuance by the Board, either

by mailing of a copy of this Consent Order, either by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, OR

upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.

SO ORDERED.

DATE: __6/15/2016

ARTHUR S. HENGERER, M.D. Chair State Board for Professional Medical Conduct

IN THE MATTER OF MARVIN FRIEDLANDER, M.D.

CONSENT AGREEMENT

Marvin Friedlander, M.D., represents that all of the following statements are true:

That on or about August 22, 1983, I was licensed to practice as a physician in the State of New York, and issued License No. 155491 by the New York State Education Department.

My current address is 700 Rahway Avenue, Union, NJ 07083, and I will advise the Director of the Office of Professional Medical Conduct of any change of address.

I understand that the New York State Board for Professional Medical

Conduct (Board) has charged me with one or more specifications of professional

misconduct, as set forth in a Statement of Charges, marked as Exhibit "A", attached to and
part of this Consent Agreement.

I agree not to contest the allegations, in full satisfaction of the charges against me, and agree to the following penalty:

 Pursuant to N.Y. Pub. Health Law § 230-a(1), I shall be subject to a Censure and Reprimand Pursuant to N.Y. Pub. Health Law §§ 230-a(7) and (9), I shall be subject to a
fine in the amount of \$5,000, to be paid in full within 30 days of the effective
date of this Order. Payments must be submitted to:

Bureau of Accounts Management New York State Department of Health Corning Tower, Room 2784 Empire State Plaza Albany, New York 12237

In making such payment, Respondent shall indicate the order number of this

Order both on the payment check submitted and on the cover letter

accompanying payment. Additionally, Respondent shall simultaneously mail

a photocopy of the check and cover letter to:

Physician Monitoring Program

Office of Professional Medical Conduct

Riverview Center

150 Broadway, Suite 355

Albany, New York 12204-2719.

- Pursuant to N.Y. Pub. Health Law § 230-a(9), I shall be placed on probation for a period of three years, subject to the terms set forth in attached Exhibit "B."
- Respondent shall successfully complete the terms and requirements
 stipulated in the New Jersey Board Order dated November 24, 2014, and

any extensions or modifications thereof. Respondent shall provide a written authorization for the New Jersey Board to provide the Director of OPMC with any/all information or documentation as requested by OPMC to enable OPMC to determine whether Respondent is in Compliance with the New Jersey Board Order.

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall comply with each and every penalty imposed by this Order pursuant to N.Y. Pub. Health Law § 230-a; and

That Respondent shall remain in continuous compliance with all requirements of N.Y. Educ Law § 6502 including but not limited to the requirements that a licensee shall register and continue to be registered with the New York State Education Department (except during periods of actual suspension) and that a licensee shall pay all registration fees. Respondent shall not exercise the option provided in N.Y. Educ. Law § 6502(4) to avoid registration and payment of fees. This condition shall take effect 120 days after the Consent Order's effective date and will continue so long as Respondent remains a licensee in New York State; and

That Respondent shall remain in continuous compliance with all requirements of N.Y. Pub. Health Law § 2995-a(4) and 10 NYCRR 1000.5, including but not limited to the requirements that a licensee shall: report to

the department all information required by the Department to develop a public physician profile for the licensee; continue to notify the department of any change in profile information within 30 days of any change (or in the case of optional information, within 365 days of such change); and, in addition to such periodic reports and notification of any changes, update his or her profile information within six months prior to the expiration date of the licensee's registration period. Licensee shall submit changes to his or her physician profile information either electronically using the department's secure web site or on forms prescribed by the department, and licensee shall attest to the truthfulness, completeness and correctness of any changes licensee submits to the department. This condition shall take effect 30 days after the Order's effective date and shall continue so long as Respondent remains a licensee in New York State. Respondent's failure to comply with this condition, if proven and found at a hearing pursuant to N.Y. Pub. Health Law § 230, shall constitute professional misconduct as defined in N.Y. Educ. Law § 6530(21) and N.Y. Educ. Law § 6530(29). Potential penalties for failure to comply with this condition may include all penalties for professional misconduct set forth in N.Y. Pub. Health Law §230-a, including but not limited to: revocation or suspension of license, Censure and Reprimand, probation, public service and/or fines of up to \$10,000 per specification of misconduct found; and

That Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Riverview Center, 150 Broadway, Suite 355, Albany, New York 12204-2719, with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information. This condition shall take effect 30 days after the Order's effective date and shall continue at all times until Respondent receives written notification from the Office of Professional Medical Conduct, Physician Monitoring Program, that OPMC has determined that Respondent has fully complied with and satisfied the requirements of the Order, regardless of tolling; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Consent Order and in its investigations of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Consent Order.

Respondent shall meet with a person designated by the Director of OPMC,

as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Consent Order shall constitute misconduct as defined by N.Y. Educ. Law § 6530(29).

I agree that, if I am charged with professional misconduct in future, this Consent Agreement and Order shall be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to the N.Y. Pub. Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Consent Order shall take effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, OR upon facsimile transmission to me or my attorney, whichever is first. The Consent Order, this agreement, and all attached Exhibits shall be public documents,

with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website. OPMC shall report this action to the National Practitioner Data Bank and the Federation of State Medical Boards, and any other entities that the Director of OPMC shall deem appropriate.

I stipulate that the proposed sanction and Consent Order are authorized by N.Y.

Pub. Health Law §§ 230 and 230-a, and that the Board and OPMC have the requisite powers to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free will and not under duress, compulsion or restraint. In consideration of the value to me of the Board's adoption of this Consent Agreement, allowing me to resolve this matter without the various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the Consent Order for which I apply, whether administratively or judicially, I agree to be bound by the Consent Order, and I ask that the Board adopt this Consent Agreement.

I understand and agree that the attorney for the Department, the Director of OPMC and the Chair of the Board each retain complete discretion either to enter into the proposed agreement and Consent Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

DATE 5/31/14

MARWIN FRIEDLANDER M.D. RESPONDENT The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms and conditions.

DATE: 06/02/16

DAVID RICHMAN, ESQ. Attorney for Respondent

DATE: (0/2/16

IAN H. SILVERMAN, ESQ.
Associate Counsel
Bureau of Professional Medical Conduct

DATE: <u>6/6/16</u>

KÉITH W. SERVÍS

Director

Office of Professional Medical Conduct

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NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

STATEMENT

OF

OF

CHARGES

MARVIN FRIEDLANDER, M.D.

Marvin Friedlander M.D., the Respondent, was authorized to practice medicine in New York State on or about August 22, 1983 by the issuance of license number 155491 by the New York State Education Department.

FACTUAL ALLEGATIONS

A. On or about November 14, 2014 The New Jersey Board of Medical Examiners (New Jersey Board) issued a Final Decision and Order against the Respondent's medical license. The Order was based upon an eight count Amended Complaint filed with the New Jersey Board on February 17, 2011. Respondent is a neurosurgeon in a private practice known as the Back Institute. Respondent also owned a health care service known as Neurophysiological Monitoring (NPM). On or about March 7, 2012 and continuing thereafter a hearing was conducted and a decision thereupon issued by the Office of Administrative Law. At the conclusion of those proceedings, the Administrative Law Judge determined that Counts 1, 2, 7 and 8 of the Amended Complaint be dismissed. Those counts alleged unlawful referral of patients to selfowned health care service, failure to provide a physician for real-time observation of Intraoperative Monitoring ("IOM") performed by technicians, and inadequate reports and fraudulent billing in violation of New Jersey statute regarding responsibility for billing or other representations, including disclosure of financial interest in health care services offered to the public and, in regard to permitting an unlicensed person to

perform an act for which a license is required by the Board, and/or aiding and abetting an unlicensed person in performing such an act. Upon review of the Initial Decision of the Administrative Law Judge the New Jersey State Board of Medical Examiners thereupon issued its findings confirming the dismissal of Count 1 of the Amended Complaint (allegations of a violation of the self-referral laws) as well as Counts 2, 7 and 8, finding that Respondent did not commit fraud, gross and/or repeated negligence, professional misconduct and/or negligence regarding billing for diagnostic tests and excessive fees.

B. The Board did confirm the ALJ findings as to Counts 3, 4, 5 and 6 of the Amended Complaint which counts were limited to the Respondent's misrepresentations concerning NPM's business operations. Specifically, the New Jersey Board found that the Respondent engaged in misleading behavior by allowing a technician, who graduated medical school in a foreign country, but who was not licensed to practice medicine in the United States, to wear a name tag with the title M.D. In addition, Respondent and his agents further represented to insurance companies through reports and/or payment forms that this technician was an "M.D.", creating the illusion that a licensed physician was involved in the Intra-Operative Monitoring (IOM) process. Respondent, also misrepresented the credentials of technicians by designating them as CNIM Certified ("Certification in Neurophysiological Intraoperative Monitoring) at a time when they had not attained that status. Also, the New Jersey Board found that Respondent failed to supervise NPM in order to assure that truthful information was submitted to insurance carriers when applying for coverage. Respondent knew or should have known that an investigation was ongoing regarding the Back Institute and NPM, yet when questioned by his carrier he permitted a response that did not disclose the pendency of the New Jersey Board investigation. The New Jersey Board further found that Respondent in correspondence to Virtua Hospital misrepresented his financial relationship with another physician. Based on the above, the New Jersey Board, suspended the Respondent's license for three years, the entirety to be stayed and served as a period of probation, ordered the Respondent to complete a continuing

medical education course in ethics, required the Respondent to employ a business monitor to oversee the business operations of any health care service entity in which he might acquire a financial interest in, ordered the Respondent to pay a civil penalty of \$80,000 and ordered the Respondent to pay costs in the amount of \$147,635.63.

- C. Respondent's conduct as described above, upon which the finding in New Jersey was based would, if committed in New York State, constitute professional misconduct under the laws of the State of New York as follows:
 - 1. New York Education Law §6530(3) (practicing the profession with negligence on more than one occasion).

SPECIFICATION OF CHARGES FIRST SPECIFICATION

HAVING BEEN FOUND GUILTY OF PROFESSIONAL MISCONDUCT

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(9)(b) by having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York state, constitute professional misconduct under the laws of New York state (namely N.Y. Educ. Law §§ 6530 (3) as alleged in the facts of the following:

1. The facts in Paragraph A, B and C.

SECOND SPECIFICATION

HAVING HAD DISCIPLINARY ACTION TAKEN

Respondent is charged with committing professional misconduct as defined in N.Y. Educ. Law § 6530(9)(d) by having his or her license to practice medicine revoked, suspended or having other disciplinary action taken, or having his or her application for a license refused, revoked or suspended or having voluntarily or otherwise surrendered his or her license after a disciplinary action was instituted by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the revocation, suspension or other disciplinary action involving the license or refusal, revocation or suspension of an application for a license or the surrender of the license would, if committed in New York state, constitute professional misconduct under the laws of New York state (namely N.Y. Educ. Law § 6530(3) as alleged in the facts of the following:

2. The facts in Paragraph A, B and C.

DATE:June $oldsymbol{\wp}$, 2016 Albany, New York

Michael A. Hiser Deputy Counsel Bureau of Professional Medical Conduct

EXHIBIT "B"

Terms of Probation

- Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(19).
- 2) Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
- 3) Respondent's failure to pay any monetary penalty by the prescribed date shall subject Respondent to all provisions of law relating to debt collection by New York State, including but not limited to: the imposition of interest, late payment charges and collection fees; referral to the New York State Department of Taxation and Finance for collection; and non-renewal of permits or licenses [Tax Law § 171(27); State Finance Law § 18; CPLR § 5001; Executive Law § 32].
- 4) The probation period shall toll when Respondent is not engaged in active medical practice in New York State for a period of 30 consecutive days or more. Respondent shall notify the Director of OPMC, in writing, if Respondent is not currently engaged in, or intends to leave, active medical practice in New York State for a consecutive 30 day period. Respondent shall then notify the Director again at least 14 days before returning to active practice. Upon Respondent's return to active practice in New York State, the probation period shall resume and Respondent shall fulfill any unfulfilled probation terms and such additional requirements as the Director may impose as reasonably relate to the matters set forth in Exhibit "A" or as are necessary to protect the public health.
- 5) The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
- 6) Respondent shall adhere to federal and state guidelines and professional standards of care with respect to infection control practices. Respondent shall

- ensure education, training and oversight of all office personnel involved in medical care, with respect to these practices.
- 7) Respondent shall maintain complete and legible medical records that accurately reflect the evaluation and treatment of patients and contain all information required by State rules and regulations concerning controlled substances.
- 8) Respondent shall enroll in and successfully complete a continuing education program as directed by the OPMC. This continuing education program is subject to the Director of OPMC's prior written approval and shall be successfully completed within the first 90 days of the probation period.
- 9) Within thirty days of the Consent Order's effective date, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC. Any medical practice in violation of this term shall constitute the unauthorized practice of medicine.
 - a) Respondent shall make available to the monitor any and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
 - b) Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
 - c) Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
 - d) Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.

10)Respondent shall comply with this Consent Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.