NEW YORK STATE DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT	
IN THE MATTER	CONSENT
OF	ORDER
STEVEN PAUL BROWNSTEIN, M.D.	BPMC No. #09-56
Upon the application of <b>STEVEN PAUL BROWNSTEIN, M.D.</b> , (Respondent), in the attached Consent Agreement and Order, which is made a part of this Consent Order, it is ORDERED, that the Consent Agreement, and its terms, are adopted and it is further	
ORDERED, that this Consent Order shall be effective upon issuance by the Board, either by mailing of a copy of this Consent Order, either	
by first class mail to Respondent at the address in the attached Consent Agreement or by certified mail to Respondent's attorney, or	
upon facsimile transmission to Respondent or Respondent's attorney, whichever is first.	
SO ORDERED.	*
DATED: 3-26-2009	Redacted Signature  KENDRICK A. SEARS, M.D. Chair State Board for Professional Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

#### IN THE MATTER

CONSENT

OF

AGREEMENT

# STEVEN PAUL BROWNSTEIN, M.D. CO-07-11-6795-A

AND ORDER

STEVEN PAUL BROWNSTEIN, M.D., (Respondent), representing that all of the following statements are true, deposes and says:

That on or about January 13, 1997, I was licensed to practice as a physician in the State of New York, and issued license number 205501 by the New York State Education Department.

My current address is Redacted Address, and I will advise the Director of the Office of Professional Medical Conduct of any change of my address thirty (30) days, thereof.

I understand that the New York State Board for Professional Medical Conduct (Board) has charged me with one (1) Specification of professional misconduct, based solely on the October 12, 2007, NUNC PRO TUNC September 26, 2007, State of New Jersey, Department of Law & Public Safety, Division of Consumer Affairs, State Board of Medical Examiners, Final Order and Agreement.

A copy of the Statement of Charges, marked as Exhibit "A," is attached to and part of this Consent Agreement.

I do not contest the one (1) Specification, and agree to the following penalty:

Censure and Reprimand; and

Three (3) years probation, concurrent and coterminous with the October 12, 2007, NUNC PRO TUNC September 26, 2007, State of New Jersey, Department of Law & Public Safety, Division of Consumer Affairs, State Board of Medical Examiners, Final Order and Agreement, in accordance with Exhibit "B," attached, hereto, and any extension and/or modifications, thereto.

I further agree that the Consent Order shall impose the following conditions:

That Respondent shall remain in continuous compliance with all requirements of New York Education Law § 6502 including but not limited to the requirements that a licensee shall register and continue to be registered with the New York State Education Department (except during periods of actual suspension) and that a licensee shall pay all registration fees. Respondent shall not exercise the option provided in New York Education Law § 6502(4) to avoid registration and payment of fees. This condition shall take effect 30 days after the Consent Order's effective date and will continue so long as Respondent remains a licensee in New York State; and

That Respondent shall cooperate fully with the Office of Professional Medical Conduct (OPMC) in its administration and enforcement of this Consent Order and in its investigations of matters concerning Respondent. Respondent shall respond in a timely manner to all OPMC requests for written periodic verification of Respondent's compliance with this Consent Order. Respondent shall meet with a person designated by the Director of OPMC, as directed. Respondent shall respond promptly and provide all documents and information within Respondent's control, as directed. This condition shall take effect upon the Board's issuance of the Consent Order and will continue so long as Respondent remains licensed in New York State.

I stipulate that my failure to comply with any conditions of this Consent Order shall constitute misconduct as defined by New York Education Law § 6530(29).

I agree that, if I am charged with professional misconduct in future, this Consent Agreement and Order shall be admitted into evidence in that proceeding.

I ask the Board to adopt this Consent Agreement.

I understand that if the Board does not adopt this Consent Agreement, none of its terms shall bind me or constitute an admission of any of the acts of alleged misconduct; this Consent Agreement shall not be used against me in any way and shall be kept in strict confidence; and the Board's denial shall be without prejudice to the pending disciplinary proceeding and the Board's final determination pursuant to New York Public Health Law.

I agree that, if the Board adopts this Consent Agreement, the Chair of the Board shall issue a Consent Order in accordance with its terms. I agree that this Consent Order shall take

effect upon its issuance by the Board, either by mailing of a copy of the Consent Order by first class mail to me at the address in this Consent Agreement, or to my attorney by certified mail, or upon facsimile transmission to me or my attorney, whichever is first. The Consent Order, this agreement, and all attached Exhibits shall be public documents, with only patient identities, if any, redacted. As public documents, they may be posted on the Department's website.

I stipulate that the proposed sanction and Consent Order are authorized by New York
Public Health Law §§ 230 and 230-a, and that the Board and OPMC have the requisite powers
to carry out all included terms. I ask the Board to adopt this Consent Agreement of my own free
will and not under duress, compulsion or restraint. In consideration of the value to me of the
Board's adoption of this Consent Agreement, allowing me to resolve this matter without the
various risks and burdens of a hearing on the merits, I knowingly waive my right to contest the
Consent Order for which I apply, whether administratively or judicially, I agree to be bound by
the Consent Order, and I ask that the Board adopt this Consent Agreement.

I understand and agree that the attorney for the Department, the Director of OPMC and the Chair of the Board each retain complete discretion either to enter into the proposed agreement and Consent Order, based upon my application, or to decline to do so. I further understand and agree that no prior or separate written or oral communication can limit that discretion.

AFFIRMED.

DATED:

Redacted Signature

STEVEN PAUL BROWNSTEIN, M.D. Respondent

The undersigned agree to Respondent's attached Consent Agreement and to its proposed penalty, terms, and conditions.

DATE: March 16200

Redacted Signature

SUSAN FRUCHTMAN, ESQ. Attorney for Respondent

Redacted Signature

ROBERT BOGAN
Associate Counsel
Bureau of Professional Medical Conduct

Redacted Signature

KEITH W. SERVIS

Office of Professional Medical Conduct

STATE OF NEW YORK : DEPARTMENT OF HEALTH
STATE BOARD FOR PROFESSIONAL MEDICAL CONDUCT

IN THE MATTER

STATEMENT

OF

OF

STEVEN PAUL BROWNSTEIN, M.D. CO-07-11-6795-A CHARGES

STEVEN PAUL BROWNSTEIN, M.D., Respondent, was authorized to practice medicine in New York state on January 13, 1997, by the issuance of license number 205501 by the New York State Education Department.

## **FACTUAL ALLEGATIONS**

- A. On or about October 12, 2007, NUNC PRO TUNC September 26, 2007, the State of New Jersey, Department of Law & Public Safety, Division of Consumer Affairs, State Board of Medical Examiners, (hereinafter "New Jersey Board"), by a Final Order and Agreement (hereinafter "New Jersey Order"), iner alia, required Respondent to pay costs and fees totaling \$35,000.00 and a civil penalty of \$25,000.00 for a total of \$60,000.00, and subjected him to two (2) years monitoring, based on negligent misreadings of MRI studies; failure to maintain and produce proper patient records and interpretive reports; misuse of letterhead; employment by unlicensed MRI facilities; omissions of professional affiliations on two biennial registration forms; and billing for medically unjustified 3D reconstructions of imaging studies.
- B. The conduct resulting in the New Jersey Board disciplinary actions against Respondent would constitute misconduct under the laws of New York state, pursuant to the following sections of New York state law:
  - New York Education Law §6530(2) (practicing the profession fraudulently);
  - New York Education Law §6530(3) (negligence on more than one occasion);
  - New York Education Law §6530(4) (gross negligence);
  - New York Education Law §6530(5) (incompetence on more than one occasion);
  - New York Education Law §6530(6) (gross incompetence);
  - New York Education Law §6530(20) (moral unfitness in the practice of medicine);

- 7. New York Education Law §6530(21) (willfully making or filing a false report, or failing to file a report required by law or by the department of health or the education department, or willfully impeding or obstructing such filing, or inducing another person to do so); and/or
- 8. New York Education Law §6530(32) (failure to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient).

## **SPECIFICATION**

Respondent violated New York State Education Law §6530(9)(d) by having disciplinary action taken by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the disciplinary action would, if committed in New York state, constitute professional misconduct under the laws of New York state, in that Petitioner charges:

The facts in paragraphs A and/or B.

DATED: Vol. 6, 2008 Albany, New York

Redacted Signature

PETER D. VAN BUREN
Deputy Counsel
Bureau of Professional
Medical Conduct

### EXHIBIT B Terms of Probation

- Respondent's conduct shall conform to moral and professional standards of conduct and governing law. Any act of professional misconduct by Respondent as defined by N.Y. Educ. Law §§ 6530 or 6531 shall constitute a violation of probation and may subject Respondent to an action pursuant to N.Y. Pub. Health Law § 230(19).
- Respondent shall maintain active registration of Respondent's license (except during periods of actual suspension) with the New York State Education Department Division of Professional Licensing Services, and shall pay all registration fees.
- 3. Respondent shall provide the Director, Office of Professional Medical Conduct (OPMC), Hedley Park Place, 433 River Street Suite 303, Troy, New York 12180-2299 with the following information, in writing, and ensure that this information is kept current: a full description of Respondent's employment and practice; all professional and residential addresses and telephone numbers within and outside New York State; all current and past affiliations and/or privileges, with hospitals, institutions, facilities, medical practices, managed care organizations, and/or applications for such affiliations and/or privileges; and all investigations, arrests, charges, convictions or disciplinary actions by any local, state or federal agency, institution or facility. Respondent shall notify OPMC, in writing, within 30 days of any additions to or changes in the required information.
- 4. Respondent shall cooperate fully with, and respond in a timely manner to, OPMC requests to provide written periodic verification of Respondent's compliance with the terms of this Consent Order. Upon the Director of OPMC's request, Respondent shall meet in person with the Director's designee.
- 5. The Director of OPMC may review Respondent's professional performance. This review may include but shall not be limited to: a review of office records, patient records, hospital charts, and/or electronic records; and interviews with or periodic visits with Respondent and staff at practice locations or OPMC offices.
- Respondent shall adhere to federal and state guidelines and professional standards of care with respect to infection control practices. Respondent shall ensure education, training and oversight of all office personnel involved in medical care, with respect to these practices.
- Respondent shall maintain complete and legible medical records that accurately reflect
  the evaluation and treatment of patients and contain all information required by State
  rules and regulations concerning controlled substances.
- Respondent shall comply fully with the October 12, 2007, NUNC PRO TUNC
  September 26, 2007, State of New Jersey, Department of Law & Public Safety, Division
  of Consumer Affairs, State Board of Medical Examiners, Final Order and Agreement,
  and any extension or modification thereof.
- Respondent shall provide a written authorization for the New Jersey Board to provide the Director of OPMC with any/all information or documentation as requested by OPMC to enable OPMC to determine whether Respondent is in compliance with the New Jersey Order.

 Respondent shall submit semi-annually a signed Compliance Declaration to the Director of OPMC, which truthfully attests whether Respondent has been in compliance with the New Jersey Order during the declaration period specified.

#### PRACTICE MONITOR

- 11. Within thirty days of the effective date of the order, Respondent shall practice medicine only when monitored by a licensed physician, board certified in an appropriate specialty, ("practice monitor") proposed by Respondent and subject to the written approval of the Director of OPMC.
  - a. Respondent shall make available to the monitor an and all records or access to the practice requested by the monitor, including on-site observation. The practice monitor shall visit Respondent's medical practice at each and every location, on a random unannounced basis at least monthly and shall examine a selection (no fewer than 20) of records maintained by Respondent, including patient records, prescribing information and office records. The review will determine whether the Respondent's medical practice is conducted in accordance with the generally accepted standards of professional medical care. Any perceived deviation of accepted standards of medical care or refusal to cooperate with the monitor shall be reported within 24 hours to OPMC.
  - Respondent shall be solely responsible for all expenses associated with monitoring, including fees, if any, to the monitoring physician.
  - Respondent shall cause the practice monitor to report quarterly, in writing, to the Director of OPMC.
  - d. Respondent shall maintain medical malpractice insurance coverage with limits no less than \$2 million per occurrence and \$6 million per policy year, in accordance with Section 230(18)(b) of the Public Health Law. Proof of coverage shall be submitted to the Director of OPMC prior to Respondent's practice after the effective date of this Order.
- 12. Respondent shall comply with this Consent Order and all its terms, and shall bear all associated compliance costs. Upon receiving evidence of noncompliance with, or a violation of, these terms, the Director of OPMC and/or the Board may initiate a violation of probation proceeding, and/or any other such proceeding authorized by law, against Respondent.